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## ZigBee IP Specification

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Accepted by                      This document has been accepted for release by the ZigBee Alliance Board of Directors

Abstract                              The ZigBee IP Specification describes the protocol infrastructure and services available to applications operating on the ZigBee IP platform.

Keywords                             ZigBee IP, 802.15.4, IPv6, 6LoWPAN

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## Change history

Table 1 shows the change history for this specification.

**Table 1: Document revision change history**

Revision	Description
00	Original version
01	Updated the list of technical editors only
02	Removed section on Backward Compatibility per Houston Members Meeting discussion; Removed section on network layer error correction; Removed section on Mesh to Bus routing; Updated list of technical editors
03 to 08	Miscellaneous internal editing team revisions leading to draft specification version 0.7
09	Internal editing team revision
10	Updated document based on comments received in the first letter ballot. The details of the comments and resolutions are available in the comment resolution database ( ZigBee document number 105652 )
11	Interim working copy with changes to sections on RPL, Node bootstrapping, PANA and Key update
12	Interim working copy incorporating contributions in Security, RPL, Sleepy node and MLE sections
13	Interim working copy incorporating changes from editing meeting. with changes to sections on beacon payload, network selection, node diagnostics, bootstrapping etc.
14	Interim working copy incorporating changes from editing meeting.
15	Updated based on comments received in informal review ( see 12-0235 for comments and resolution )
16	Updated based on comments received in informal review ( see 12-0235 for comments and resolution )
17	Updated based on comments received in informal review ( see 12-0235 for comments and resolution )
18	Previous doc with updated revision and all “track changes” accepted
19	Updated based on comments received in letter ballot 2 ( see 10-5934 for comments and resolution )
20	Accepted all “track changes” in previous version and converted to pdf.

21	Updates based on some comments received in the 0.9 letter ballot. See 12-0323-01 for details
22	Accepted previous changes and added text from v0.9 comment resolution. See 12-0323-03 for details
23	Additional comment resolution. See 12-0323-04 for details
24	Editorial comments resolved. See 12-0323-05 for details
25	Editorial updates – capitalizations for all keywords
26	Editorial updates – references
27	Updates to Multicast forwarding section to use new MPL draft and added clarification on Key pull behavior based on reflector email
28	Updates based on comments during SVE event
29	Accepted all changes in previous revision and converted to pdf
30	Fixed error (incomplete resolution of previous comment) in line 1710
31	Accept changes and convert to pdf
32	Formatted for release and document number updated to 12-0572-10



## 1 References

The following standards and specifications contain provisions, which through reference in this document constitute provisions of this specification. All the standards and specifications listed are normative references. At the time of publication, the editions indicated were valid. All standards and specifications are subject to revision, and parties to agreements based on this specification are encouraged to investigate the possibility of applying the most recent editions of the standards and specifications indicated below.

[SE-TRD]	Smart Energy Profile Technical Requirements Document, ZigBee document 095449
[802.15.4]	IEEE Standards 802, Part 15.4-2006: Wireless Medium Access Control (MAC) and Physical Layer (PHY) specifications for Low Rate Wireless Personal Area Networks (LR-WPANs)
[ECDP]	Standards for Efficient Cryptography Group, "SEC 2 - Recommended Elliptic Curve Domain Parameters", <a href="http://www.secg.org">www.secg.org</a>
[IANA]	Internet Assigned Numbers Authority Protocol Registries <a href="http://www.iana.org/protocols/">http://www.iana.org/protocols/</a>
[MLE]	Mesh Link Establishment, IETF draft-kelsey-intarea-mesh-link-establishment-04
[MPL]	Multicast Protocol for Low Power and Lossy Networks (MPL), IETF draft-ietf-roll-trickle-mcast-03
[RFC 768]	User Datagram Protocol (UDP), IETF RFC 768
[RFC 793]	Transmission Control Protocol (TCP), IETF RFC 793
[RFC 2119]	Key words for use in RFCs to Indicate Requirement Levels, IETF RFC 2119
[RFC 2460]	Internet Protocol, Version 6 (IPv6) Specification, IETF RFC 2460
[RFC 3748]	Extensible Authentication Protocol (EAP), IETF RFC 3748
[RFC 4007]	IPv6 Scoped Address Architecture, IETF RFC 4007
[RFC 4193]	Unique Local IPv6 Unicast Addresses, IETF RFC 4193
[RFC 4279]	Pre-Shared Key Ciphersuites for Transport Layer Security (TLS), IETF RFC 4279
[RFC 4291]	IP Version 6 Addressing Architecture, IETF RFC 4291
[RFC 4443]	Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification, IETF RFC 4443
[RFC 4492]	Elliptic Curve Cryptography (ECC) Cipher Suites for Transport Layer Security (TLS), IETF RFC 4492
[RFC 4861]	Neighbor Discovery for IP version 6 (IPv6), IETF RFC 4861
[RFC 4862]	IPv6 Stateless Address Autoconfiguration, IETF RFC 4862
[RFC 4944]	Transmission of IPv6 Packets over IEEE 802.15.4 Networks (6LoWPAN), IETF RFC 4944
[RFC 5116]	An Interface and Algorithms for Authenticated Encryption, IETF RFC 5116

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[RFC 5191]	Protocol for Carrying Authentication for Network Access (PANA), IETF RFC 5191
[RFC 5216]	The EAP-TLS Authentication Protocol, IETF RFC 5216
[RFC 5246]	The Transport Layer Security (TLS) Protocol Version 1.2, IETF RFC 5246
[RFC 5288]	AES Galois Counter Mode (GCM) Cipher Suites for TLS, IETF RFC 5288
[RFC 5289]	TLS Elliptic Curve Cipher Suites with SHA-256/384 and AES Galois Counter Mode (GCM), IETF RFC 5289
[RFC 5487]	Pre-Shared Key Cipher Suites for TLS with SHA-256/384 and AES Galois Counter Mode, IETF RFC 5487
[RFC 6282]	Compression Format for IPv6 Datagrams in 6LoWPAN Networks, IETF RFC 6282
[RFC 6345]	Protocol for Carrying Authentication for Network Access (PANA) Relay Element, IETF RFC 6345
[RFC 6550]	RPL: IPv6 Routing Protocol for Low power and Lossy Networks, IETF RFC 6550
[RFC 6553]	RPL Option for Carrying RPL Information in Data-Plane Datagrams, IETF RFC 6553
[RFC 6554]	An IPv6 Routing Header for Source Routes with RPL, IETF RFC 6554
[RFC 6655]	AES-CCM Cipher Suites for Transport Layer Security (TLS), IETF RFC 6655
[RFC 6719]	The Minimum Rank with Hysteresis Objective Function, IETF RFC 6719
[RFC 6775]	Neighbor Discovery Optimization for IPv6 over Low Power Wireless Personal Area Networks (6LoWPANs), IETF RFC 6775
[RFC 6786]	Encrypting the Protocol for Carrying Authentication for Network Access (PANA) Attribute-Value Pairs, IETF RFC 6786
[TLS-ECC-CCM]	AES-CCM ECC Cipher Suites for TLS, IETF draft-mcgrew-tls-aes-ccm-ecc-05



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10

## 2 Definitions

Authentication Server	The server implementation that is in charge of verifying the credentials of a ZIP node that is requesting the network access service. The AS is usually hosted on the ZIP Coordinator but may also be on a dedicated node on the access network, or on a central server in the Internet.
6LBR	6LoWPAN Border Router, as defined in [RFC 6775].
6LR	6LoWPAN Router, as defined in [RFC 6775].
DODAG Root	As defined in [RFC 6550].
Enforcement Point	The access control implementation that is in charge of allowing access (data traffic) of authorized clients while preventing access by others.
Global address	As defined in [RFC 4862].
Host	Any node that is not a router.
Link local address	As defined in [RFC 4862].
Node	A device that implements the protocols specified in this document.
Router	A node that forwards network layer packets not explicitly addressed to itself.
RPL	An IPv6 routing protocol designed for use in low-power and lossy networks and specified in IETF RFC 6550.
RPL Instance	As defined in [RFC 6550].
RPL Root	As defined in [RFC 6550].
ZIP	ZigBee IP Protocol, as defined in this document
ZIP Coordinator	A ZigBee IP node that is responsible for starting and maintaining a ZigBee IP network. This node implements the functionalities of a 802.15.4 PAN Coordinator, 6LoWPAN LBR, RPL Root, PAA and Authentication Server.
ZIP Host	Any ZigBee IP node that is not a ZIP router.
ZIP Node	A device that implements the protocol suite specified in this document.
ZIP Router	A ZigBee IP node that forwards network layer packets not explicitly addressed to itself.

11  
12

### 3 Acronyms and abbreviations

AES	Advanced Encryption Standard
CSMA/CA	Carrier Sense Multiple Access/Collision Avoidance
DAD	Duplicate address detection. An algorithm used to ensure the uniqueness of an address in an IP network. See [RFC 6775]
DAG	Directed Acyclic Graph. See [RFC 6550]
DODAG	Destination Oriented DAG. See [RFC 6550]
EAP	Extensible Authentication Protocol. See [RFC 3748]
ETX	Expected Transmission Count. See [RFC 6551]
EUI	Extended Unique Identifier. See [802.15.4]
FFD	Full Function Device. See [802.15.4]
GUA	Global Unicast Address. See [RFC 4291]
IEEE	Institute of Electrical and Electronic Engineers
IETF	Internet Engineering Task Force
MAC	Medium Access Control
OCP	Objective Code Point. See [RFC 6550]
OF	Objective Function. See [RFC 6550]
PAA	PANA Authentication Agent. See [RFC 5191]
PaC	PANA Client. See [RFC 5191]
PAN	Personal Area Network. See [802.15.4]
PRE	PANA Relay Element. See [RFC 6345]
ULA	Unique Local Address. See [RFC 4193]

## 13 4 Introduction

### 14 4.1 Purpose

15 The purpose of the ZigBee IP specification is to define a standard, interoperable protocol stack using  
16 IETF-defined networking protocols for use in IEEE 802.15.4-based wireless mesh networks.

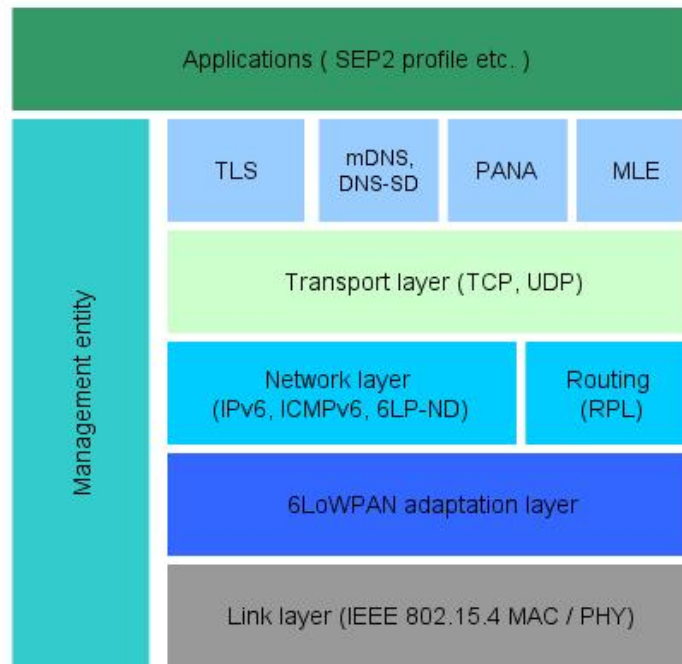
### 17 4.2 Scope

18 This document contains the specification for the ZigBee IP protocol stack for use in Smart Energy  
19 Profile 2.0 applications and other ZigBee applications that may migrate to a ZigBee IP stack. This  
20 specification is designed to meet the technical requirements described in the Smart Energy Profile 2.0  
21 Technical Requirements Document [SE-TRD].

22 This specification utilizes protocols defined in subordinate specifications produced by the IETF and the  
23 IEEE. As such, it does not seek to describe any of the protocols in detail. Rather, it calls out the  
24 specific set of protocols that must be supported as well as any relevant operational modes and  
25 configurations. Any requirements specified as mandatory in the subordinate specifications that are not  
26 necessary to be supported in ZigBee IP shall be identified in this document. Any requirements  
27 specified as optional in the subordinate specifications that are necessary to be supported, shall be  
28 identified in this document.

### 29 4.3 Overview

30 The ZigBee IP protocol stack is illustrated in the figure below.  
31



32  
33 **Figure 1: ZigBee IP protocol layers**

34  
35 The link layer provides the following services

- 36 • Discovery of IEEE 802.15.4 PAN's within radio range.

- 37       • Frame transmissions with a maximum MAC payload size of 118 bytes. Actual MAC payload  
38       in each frame can vary depending on addressing mode and security options.
- 39       • Support for frame transmissions to sleeping devices using frame buffering and polling.
- 40       • Frame security including encryption, authentication and replay protection. Note that key  
41       management is not performed at this layer.

42

43       The 6LoWPAN adaptation layer provides the following services

- 44       • Header compression and decompression for IPv6 and UDP headers.
- 45       • Fragmentation and reassembly of IPv6 packets that exceed the maximum payload size  
46       available in the link layer frame.

47

48       The Network layer provides the following services

- 49       • IPv6 addressing and packet framing.
- 50       • ICMPv6 messaging.
- 51       • Router and Neighbor discovery.
- 52       • IPv6 stateless address auto configuration and Duplicate address detection (DAD).
- 53       • Propagation of 6LoWPAN configuration information.
- 54       • Route computation and maintenance using RPL protocol.
- 55       • IPv6 packet forwarding.
- 56       • IPv6 multicast forwarding within the subnet.

57

58       The Transport layer provides the following services

- 59       • Guaranteed and non-guaranteed packet delivery service.
- 60       • Multiplexing of packets to multiple applications.

61

62       The Management entity is a conceptual function that is responsible for invoking and managing the  
63       various protocols in order to achieve the desired operational behavior by the node. It is responsible for

- 64       • Node bootstrapping procedure.
- 65       • Node power management.
- 66       • Non-volatile storage and restoration of critical network parameters.
- 67       • Authentication and network access control using PANA protocol.
- 68       • Network-wide key distribution using PANA protocol.
- 69       • Propagation of network configuration parameters using MLE protocol.

70

#### 71 **4.4 Document Organization**

72 The rest of the document is organized as follows. Section 5 contains the ZigBee IP protocol  
73 specification. It describes the various IEEE and IETF standard protocols that must be supported by a  
74 ZigBee IP implementation along with details on the mandatory and optional features within each of  
75 them. Section 6 describes the functional behavior of a ZigBee IP node during various stages of network  
76 operation. Section 7 specifies the values for the various parameters that are defined in earlier sections.  
77 Section 8 contains informative material and examples of protocol message exchanges that may be  
78 useful to implementers of this specification.

#### 79 **4.5 Requirements Language**

80 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",  
81 "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this  
82 document are to be interpreted as described in [RFC 2119].

## 83 **5 Protocol Specification**

### 84 **5.1 Physical Layer**

85 A ZigBee IP node MUST support at least one physical interface conforming to one of the PHY  
86 specifications defined in the IEEE 802.15.4-2006 standard [802.15.4].

87 This specification describes the protocol operation on a single physical interface in a node. The support  
88 of multiple physical interfaces in a node is out of scope.

### 89 **5.2 MAC Layer**

90 A ZigBee IP node MUST implement the IEEE 802.15.4-2006 MAC specification [802.15.4]. A ZIP  
91 Host MUST implement the RFD (reduced function device) functionality while a ZIP Router and ZIP  
92 Coordinator MUST implement the FFD (full function device) functionality.

93 A ZIP Node is not required to implement all the available MAC features. Specifically, the periodic-  
94 beaconing mode of operation and the guaranteed timeslots (GTS) features are not required to be  
95 supported by nodes operating in a ZigBee IP network. Association and Disassociation command  
96 frames are not required to be supported.

97 A ZIP node MUST support the MAC frame security features as described in section 5.10 of this  
98 document.

99 A ZigBee IP node MUST be able to support the 64-bit and 16-bit MAC level addressing modes. A 64-  
100 bit IEEE address (also called EUI-64, MAC address or extended address) MUST be configured in each  
101 device at manufacture time. This address is globally unique and it is expected that this address is fixed  
102 during the lifetime of the device. A 16-bit short address MUST be assigned to each node after it has  
103 completed network admission. This address is unique within that particular IEEE 802.15.4 PAN.

### 104 **5.3 6LoWPAN Adaptation Layer**

105 The 6LoWPAN adaptation layer is defined by standards produced by the 6LoWPAN Working Group  
106 of the IETF.

107 The encapsulation of IPv6 packets in 802.15.4 frames MUST be performed as specified in [RFC 4944]  
108 and [RFC 6282]. The mesh addressing header is not required to be supported as ZigBee IP does not use  
109 the link-layer mesh-under routing configuration described in [RFC 4944] and instead rely on the route-  
110 over configuration.

#### 111 **5.3.1 6LoWPAN Fragmentation**

112 The 6LoWPAN fragmentation scheme defined in [RFC 4944] MUST be supported.

113 The fragments composing a single IP datagram MUST be transmitted in order of increasing  
114 datagram\_offset. In addition, the transmission of fragments of one datagram MUST not be interleaved  
115 with any other datagrams, fragmented or otherwise, to the same destination (while [RFC 4944] allows  
116 fragments and packets to be sent in any order, having fragments arrive in order and not interleaved  
117 simplifies both reassembly and detection of missing fragments. The MAC/PHYs used for ZigBee IP do  
118 not themselves reorder packets, so the above restrictions are sufficient to ensure in-order arrival.)

119 The link MTU for the 6LoWPAN interface MUST be set to 1280 octets (see 5.4.3 for exception).

#### 120 **5.3.2 Header Compression**

121 The 6LoWPAN header compression scheme defined in [RFC 6282] MUST be supported by a ZigBee  
122 IP node. A ZigBee IP node MUST support all compression modes defined in [RFC 6282]. When  
123 transmitting an IPv6 packet, the most effective compression scheme SHOULD be used in order to  
124 minimize the size of the transmitted packet. A node SHOULD be able to receive an IPv6 packet with  
125 any or no header compression as long as the header is encoded using the format defined in [RFC 6282].

126 [RFC 6282] allows the use of pre-defined context identifiers for the purpose of compressing IPv6  
127 addresses. These context identifiers are defined at the 6LBR and conveyed to the other nodes in the  
128 network via router advertisements [RFC 6775].

129 The 6LBR in a ZigBee IP network MUST NOT define more than MIN\_6LP\_CID\_COUNT context  
130 identifiers for purposes of IP header compression. It MUST define the default context identifier  
131 (context zero) and set its value to the IPv6 prefix assigned to the 6LoWPAN, as defined in section 5.4.

132 All other ZIP nodes MUST support the configuration and use of at least MIN\_6LP\_CID\_COUNT  
133 context identifiers for purposes of IPv6 header compression.

### 134 **5.3.3 Neighbor Discovery**

135 The neighbor discovery protocol MUST be implemented as defined in 6LoWPAN neighbor discovery  
136 specification [RFC 6775].

137 A ZigBee IP node MUST support the optional mechanisms defined in [RFC 6775] for multihop  
138 distribution of prefix and context information.

139 A ZigBee IP node MUST support the optional mechanisms defined in [RFC 6775] for multihop  
140 duplicate address detection.

141 A ZigBee IP node SHOULD suppress neighbor unreachability probes as the upper layer protocols  
142 specified in later sections include periodic packet transmissions that verify the bidirectional  
143 reachability of neighbor nodes as well as detecting new neighbor nodes. However, a node MUST  
144 respond appropriately to a neighbor unreachability probe.

## 145 **5.4 Network Layer**

146 A ZigBee IP node MUST support the IPv6 protocol [RFC 2460].

147 A ZigBee IP node is not required to support the Authentication Header (AH) and the Encapsulating  
148 Security Payload (ESP) IPv6 extension headers and this mode of operation is not described in this  
149 specification

150 A ZigBee IP node is not required to support the Fragment IPv6 extension header.

151 A ZigBee IP node MUST support the ICMPv6 protocol [RFC 4443]. Nodes MUST support the  
152 ICMPv6 error messages as well as the echo request and echo reply messages.

### 153 **5.4.1 IP Addressing**

154 All ZigBee IP nodes MUST support the IPv6 addressing architecture specified in [RFC 4291].

155 A ZigBee IP network will be assigned one or more /64 prefix(es), which will be announced as the  
156 prefix(es) throughout the entire 6LoWPAN (see [RFC 6775]). These prefix(es) MAY be either ULA  
157 [RFC 4193] or GUA prefix(es) and a node MUST be capable of supporting at least MIN\_6LP\_PREFIX  
158 number of prefixes. For consistency with [ND], [RFC 4944] and other standards, the 6LoWPAN  
159 prefix(es) MUST always be a /64. A 6LoWPAN node can use either its EUI-64 address or its 16-bit  
160 short address to derive the interface identifier, as defined in section 6 of [RFC 4944]. When using the  
161 16-bit short address to construct the interface identifier, the method specified in [RFC 6282] MUST be  
162 followed. When applied to header compression modes that are based on the 16-bit short address, the  
163 /64 prefix from the default context and the additional 48 bits that convert the 16-bit short address to a  
164 64-bit IID are elided from the compressed address.

165 A ZigBee IP node MUST configure its IEEE 802.15.4 interface with at least the following addresses:

- 166 • A 128-bit link-local IPv6 address configured from the EUI-64 of the node as interface  
167 identifier using the well-known link-local prefix FE80::0/64 as described in [RFC 4862] and  
168 [RFC 4944]. When this type of address is compressed using [RFC 6282], it MUST be  
169 considered stateless compression. This type of address is known in its abbreviated form as  
170 LL64.

- 171       • A 128-bit link-local IPv6 address configured from the interface identifier based on the 16-bit  
172 short address of the node using the well-known link-local prefix FE80::0/64 as described in  
173 [RFC 4862] and [RFC 4944]. When this type of address is compressed using [RFC 6282], it  
174 MUST be considered stateless compression. This type of address is known in its abbreviated  
175 form as LL16
- 176       • One or more 128-bit unicast IPv6 addresses. The interface identifier used for address  
177 configuration is based on the 16-bit short address of the node. The prefix is the ULA or GUA  
178 prefix obtained from the 6LoWPAN Prefix information option (PIO) in the Router  
179 Advertisement (see [RFC 6775]). If multiple global prefixes are advertised, the node MAY  
180 choose to configure addresses with any or all of them based on local node policy. When this  
181 type of address is compressed using [RFC 6282], it MUST be considered stateful, context  
182 based compression. This type of address is known in its abbreviated form as GP16.

183 In addition, all nodes MUST join the appropriate multicast addresses as required by [ND].

184 DAD MUST NOT be performed on addresses configured from a EUI-64 interface identifier, as  
185 RECOMMENDED in [RFC 6775]. The GP16 address configured from the 16-bit short address MUST  
186 be tested for uniqueness using the DAD mechanism as defined in [RFC 6775].

## 187 5.4.2 Routing Protocol

188 All ZigBee IP routers MUST implement the RPL routing protocol [RFC 6550]. RPL establishes a  
189 destination oriented directed acyclic graph (DODAG) toward a Root node, called the DODAG Root.  
190 Packets are directed up the DODAG toward the Root using this graph. Packets are directed from the  
191 Root down the DODAG using routes established from Destination Advertisement Object (DAO). The  
192 following subsections describe how RPL is used in ZigBee IP to ensure compatibility between devices.

193 A ZigBee IP network MAY run multiple instances of RPL concurrently. Only global instances  
194 SHOULD be used. The 6LBR node MUST start a RPL instance. Other ZigBee IP routers MAY start  
195 their own RPL instance if they offer connectivity to external network or if they are administratively  
196 configured to do so. In this case, the RPL instance identifier SHOULD be selected so that it does not  
197 conflict with existing identifiers. This means that the router SHOULD first join the network and  
198 discover existing RPL instances before starting its own. The presence of DIOs with different DODAG  
199 id fields but equal instance id fields indicates a duplicate instance id. If a DODAG root detects an  
200 instance id conflict with its instance, it SHOULD reform the DODAG using a different instance id.

201 A ZigBee IP router MUST be capable of joining at least MIN\_RPL\_INSTANCE\_COUNT RPL  
202 instances and SHOULD join all RPL instances that are available in the network subject to its memory  
203 constraints.

204 If a node loses connectivity to a RPL instance (that is, it cannot find a parent with finite rank) for over  
205 RPL\_INSTANCE\_LOST\_TIMEOUT seconds, it SHOULD delete the instance. This may happen, for  
206 example, if the root of the instance is replaced.

207 Each DODAG root may be configured to include zero or more prefixes in the Route Information  
208 Option (RIO). Note that if the root wishes to advertise the default route (prefix 0::), it MUST include it  
209 in an RIO. The absence of any RIO prefixes indicates that the DODAG can route packets only to the  
210 root node. If the DODAG Root is also the Authoritative Border Router (as defined in [RFC 6775]), it  
211 MUST include the PIO information in both the RPL DIO packet as well as the Router Advertisement  
212 packet.

213 In a ZigBee IP network, a RPL Instance MUST contain a single DODAG with a single Root. A  
214 DODAG root MUST always grounded. Floating DODAGs MUST NOT be used.

215 RPL control messages are sent using “unsecured” RPL security mode. Link layer security is used to  
216 meet the security requirements.



217 In a ZigBee IP network, only the non-storing RPL mode of operation is used. In non-storing mode, all  
218 downward routes are managed by the DODAG root as source routes. Routers send DAO messages  
219 containing downward route information directly to the root, with the DAO-ACK ('K') flag enabled.  
220 DAO messages are not delayed at each hop as described in [RFC 6550] section 9.5. DAO messages  
221 SHOULD be jittered by the originating router to avoid multiple nodes sending simultaneously to the  
222 root. Multicast DAO messages are not used in a ZigBee IP network.

223 Every non-root router SHOULD be capable of having at least RPL\_MIN\_DAO\_PARENT parents per  
224 DODAG, to be used for upward routing by the router itself, and downward routing by the root.

225 Metric Container and RPL Target Descriptor options MUST NOT be included in any RPL control  
226 messages.

#### 227 **5.4.2.1 Host Participation In RPL**

228 A ZIP host does not participate in the RPL protocol.

#### 229 **5.4.2.2 Objective Function**

230 The objective function defines the route selection objectives within a RPL Instance. The objective  
231 function is identified by the objective code point (OCP) field in the DODAG configuration option.

232 A ZigBee IP router MUST implement the MRHOF objective function [RFC 6719] using the ETX  
233 metric, without metric containers.

234 Zigbee IP routers MUST use the Mesh Link Establishment protocol [MLE] to determine the ETX of  
235 links to neighboring routers. Routers estimate the incoming delivery ratio for each neighbor in their  
236 neighbor table. The estimation method is implementation specific. The inverse of the delivery ratio is  
237 then communicated to the neighbor via the MLE Neighbor TLV. The ETX of the link is equal to the  
238 product of the forward and reverse inverse delivery ratios.

239 MRHOF parameters MUST be set as follows:

- 240 • MAX\_LINK\_METRIC:  $16 * \text{MinHopRankIncrease}$ .
- 241 • MAX\_PATH\_COST:  $256 * \text{MinHopRankIncrease}$ .
- 242 • MIN\_PATH\_COST: 0.
- 243 • PARENT\_SWITCH\_THRESHOLD:  $1.5 * \text{MinHopRankIncrease}$ .
- 244 • PARENT\_SET\_SIZE: 2.
- 245 • ALLOW\_FLOATING\_ROOT: 0.

#### 246 **5.4.2.3 RPL Configuration**

247 This section specifies the RPL configurations and the corresponding RPL control messages used by  
248 ZigBee IP. Any unspecified configurations are used as defined in [RFC 6550].

249 The DODAG root is authoritative for setting some information through DIO and the information is  
250 unchanged during propagation toward leaf nodes. This information is described below:

- 251 1. RIO(s)
- 252 2. DODAG configuration option
- 253 3. PIO(s), with the exception that if the 'R' flag is set, the last two bytes of the IPv6 address (the  
254 link layer short address) inside Prefix field will change
- 255 4. RPLInstanceID
- 256 5. DODAGID
- 257 6. DODAGVersionNumber
- 258 7. Grounded flag

259 8. Mode of operation field

#### 260 **5.4.2.3.1 DODAG Information Solicitation (DIS) Frame Format**

261 The DIS messages MAY include the Pad1, PadN or Solicited Information options.

262 A ZIP router MAY transmit a DIS message with the Solicited Information option and the InstanceID  
263 predicate in order to limit the DIO responses to a specific RPL Instance.

#### 264 **5.4.2.3.2 Multicast DODAG Information Object (DIO) Frame Format**

265 The multicast DIO message contains the DIO base object and the RIO objects.

266 The configuration of the DIO base is as follows:

- 267 • The RPLInstanceID SHOULD be set to a global Instance with a value in the range of [0x00,  
268 0x7F]
- 269 • The Version Number SHOULD be initialized to a value of 0xF0
- 270 • The Grounded (G) flag of the DIO MUST be always set. ZIP nodes MUST NOT create  
271 floating DODAGs.
- 272 • The Mode of Operation (MOP) field in the DIO MUST be set to 0x01. This indicates the non-  
273 storing mode in RPL.
- 274 • The DODAGPreference field SHOULD be set to 0. ZIP routers are not required to implement  
275 DODAG preference based on this field.
- 276 • The Destination Advertisement Trigger Sequence Number (DTSN) - The root node  
277 increments the DTSN field of the DIO when it wishes to receive fresh DAO messages from  
278 the network without incrementing the DODAG version number. ZIP routers MUST set their  
279 DTSN value to the same value as their parent router and update it whenever the parent router  
280 updates its value. This way the Root node can increment the value in its DTSN field and  
281 propagate that change through the entire DoDAG.

282 The configuration of the RIO is as follows:

- 283 • The Prefix Length SHOULD be set to the length of the prefix for which the route is being  
284 advertised
- 285 • The Route Preference (Prf) value SHOULD be set to 0 (medium) preference or  
286 administratively configured.
- 287 • The Prefix SHOULD be set to the value for which the route is being advertised

288 RPL allows the root to include multiple RIO options in a DIO frame to advertise external routes that  
289 are reachable through the root. A ZIP node operating as a RPL root SHOULD limit the number of RIO  
290 options included in the DIO packet to RPL\_MAX\_RIO. This is to ensure that all ZIP routers can  
291 process the necessary route information. Similarly, a RPL root SHOULD limit the number of PIO  
292 options included in the DIO packet to RPL\_MAX\_PIO.

#### 293 **5.4.2.3.3 Unicast DODAG Information Object (DIO) Frame Format**

294 The unicast DIO message contains DIO base, RIO(s), PIO(s) and DODAG configuration option. The  
295 DIO base and the RIO used in unicast messages have the same format as in multicast messages.

296 The configuration of the PIO is as follows:

- 297 • The Prefix length MUST be set to 0x40, indicating a 64-bit prefix.
- 298 • The 'L' flag (On-link flag) MUST NOT be set (see [RFC 6775] section 6.1)
- 299 • The 'A' flag (Autonomous address-configuration flag) MUST be set if the prefix can be for  
300 stateless address autoconfiguration.

- 301       • The ‘R’ flag (Router address flag) MUST be set if the node has configured an address with  
302       this prefix. Otherwise, it MUST NOT be set.
- 303       • The Prefix field MUST contain the routable IPv6 address of the source node
- 304   The configuration of the DODAG configuration option is as follows:
- 305       • The Authentication Enabled (A) flag MUST NOT be set. ZigBee IP does not use RPL security  
306       and instead relies on MAC layer security.
- 307       • The Path Control Size (PCS) field MUST be set to a value of atleast 1. This controls the  
308       number of DAO parents and downward routes that are configured for a ZIP node.
- 309       • The trickle parameters that govern the DIO transmission SHOULD be set by the RPL root.  
310       The parameters SHOULD be set to balance the amount of traffic generated by the trickle timer  
311       reset against the joining startup time. The following parameter values are RECOMMENDED:
- 312           o DIOIntervalDoublings value SHOULD be set to 12
- 313           o DIOIntervalMin value SHOULD be set to 9
- 314           o DIORedundancyConstant value SHOULD be set to 3
- 315       The ZIP routers MUST configure their internal DIO trickle timer parameters based on the  
316       incoming DODAG configuration option and MUST NOT hardcode the above  
317       recommended values.
- 318       • The MaxRankIncrease field SHOULD be set to non-zero value. MaxRankIncrease is used to  
319       configure the allowable rank increase in support to local repair. If it is set to zero, local repair  
320       is disabled. A typical value for this field would be about 16 and a larger value SHOULD be in  
321       networks with more hops.
- 322       • The MinHopRankIncrease field SHOULD be set to 0x80
- 323       • The Objective Code Point (OCP) MUST be set to the assigned value in [RFC 6719]

#### 324   **5.4.2.3.4 Destination Advertisement Object (DAO) Frame Format**

325   A Unicast DAO request is sent to the DODAG root node in order to establish the downward routes.  
326   This request is composed of DAO base, RPL target option(s) and Transit information option(s).

327   The configuration of the DAO base is as follows:

- 328       • The RPLInstanceID field MUST be a global RPLInstanceID which MUST be in the range  
329       [0x00, 0x7F] (inclusive).
- 330       • The ‘K’ flag SHOULD be set. This flag indicates that the DODAG root is expected to send a  
331       DAO-ACK back.
- 332       • The ‘D’ flag MUST be cleared as local RPLInstanceIDs are not used.
- 333       • The DAOSequence SHOULD be initially set to 0xF0 and incremented in a “lollipop” fashion  
334       afterwards. A node SHOULD increment the DAO sequence number when it retransmits a  
335       DAO due to lack of DAO-ACK.

336   At least one RPL target option MUST be present in the DAO request. RPL target option is used to  
337   inform the DODAG root node that a route to the target IPv6 address exists.

338   The configuration of the RPL target option is as follows:

- 339       • The Prefix Length SHOULD be set to 0x80 because an IPv6 address is present in Target  
340       Prefix
- 341       • The Target Prefix SHOULD be set either to the IPv6 address of the ZIP router that is sending  
342       the DAO router or to the IPv6 address of a ZIP host that is directly reachable by that router.

343   The Transit information option is used to indicate the DODAG parents to the DODAG root. The  
344   configuration of the Transit information option is as follows:



- 345       • The External (E) flag MUST be set to zero when the Target prefix contains the IPv6 address  
346       of the ZIP router that is sending the DAO packet. Otherwise, it MUST be set to one.
- 347       • The Path Control field is used for limiting the number of DODAG parents included in a DAO  
348       request and for setting a preference among them
- 349       • The Path Sequence SHOULD be updated for each new DAO packet.
- 350       • The Path Lifetime MUST be set to the lifetime for which the DAO parent is valid. It MUST  
351       be set to zero when the ZIP router wants to delete an existing DAO parent from its downward  
352       routing table entry at the DODAG Root.
- 353       • A single Parent Address MUST be present in Transit information option and it MUST contain  
354       the IPv6 address of the DODAG parent or the IPv6 address of the node generating the request  
355       when a DAO is sent on behalf of the host. Multiple parent addresses MAY be conveyed using  
356       multiple Transit options.
- 357       The RPL Root determines the freshness of the routing information received through a DAO packet  
358       before using it to update its source route entries. When the DAO carries route information for Host  
359       nodes, indicated by the setting of the 'E' flag, the Root MUST use time-of-delivery as the freshness  
360       indicator. That is, a DAO that arrives latter in time is assumed to contain more recent route  
361       information. Otherwise, the Root is free to determine the freshness using a combination of time-of-  
362       delivery, DAO sequence and Path sequence values.

#### 363       **5.4.2.3.5 Destination Advertisement Object Acknowledgement (DAO- 364       ACK) Frame Format**

365       The DAO-ACK request is sent from the DODAG root to the node generating the DAO request. The  
366       Root MUST acknowledge each received DAO packet irrespective of its sequence number.

367       The configuration of the DAO-ACK base object is as follows:

- 368       • The RPLInstanceID field MUST be set to the Instance
- 369       • The 'D' flag SHOULD be set to zero as local RPL Instances are not used
- 370       • The DODAGID field is not present when the "D" flag is zero.

#### 371       **5.4.3 IP Traffic Forwarding**

372       A ZIP Router MAY forward unicast packets directly to the destination if the destination node is known  
373       to be directly reachable. Otherwise, it SHOULD forward unicast packets using the forwarding rules  
374       defined in the RPL protocol.

375       The RPL protocol requires that all data packets forwarded in the RPL domain MUST contain either the  
376       RPL Option [RFC 6553] or the RPL Source Route [RFC 6554] header.

377       The Source Routing header MAY only be inserted by the DODAG Root of the RPL Instance. The  
378       Source routing is used for P2MP (point to multipoint) traffic originating outside the DODAG and  
379       delivered through the DODAG root, and for P2P (point to point) traffic, which is forwarded from the  
380       source up the DODAG to the root and then forwarded back down the DODAG to the destination. The  
381       DODAG root will use the node specific routing information developed through information contained  
382       in the RPL DAO packets to forward IPv6 traffic to nodes in the DODAG. When the DODAG root  
383       initiates transmission or receives an IPv6 datagram with the destination address of one of the nodes in  
384       the DODAG, the root will add source routing information to the IPv6 datagram according to [RFC  
385       6554].

386       The DODAG Root SHOULD insert the Source routing header directly only in the case where it is the  
387       source of the IPv6 packet and the destination is within the RPL domain (i.e., it is a ZIP router with the  
388       same prefix). In all other cases, it MUST use IPv6-in-IPv6 tunneling. The tunnel exit point MUST be  
389       set to the address of the final destination address if that node is within the RPL domain. Otherwise, it  
390       MUST be set to the parent address of the destination. The DODAG Root determines the parent address  
391       from the Transit information option in the DAO packet that has a Target option corresponding to the  
392       destination address.

393 A ZIP router that is originating a unicast IPv6 packet and forwarding it via RPL protocol MUST insert  
 394 the RPL Option header. The header MUST be inserted using IPv6-in-IPv6 tunneling in all cases except  
 395 when the destination address is the DODAG Root of the RPL Instance used by the packet. In that case,  
 396 the header MAY be inserted either directly in the packet or by using IPv6-in-IPv6 tunneling. When the  
 397 RPL Option header is inserted using tunneling, the tunnel exit point SHOULD be set to the next hop  
 398 address along the route towards the DODAG Root. In the case where the final destination address of  
 399 the packet is the DODAG Root of the RPL Instance used by the packet, the tunnel exit point MAY be  
 400 set to that address.

401 A ZIP router that is using RPL to forward a unicast IPv6 packet originated by another node MUST  
 402 insert the RPL Option header if the packet does not already contain either the RPL Option header or the  
 403 Source routing header. The header MUST be inserted using IPv6-in-IPv6 tunneling. The tunnel exit  
 404 point SHOULD be set to the next hop address along the route towards the DODAG Root. In the case  
 405 where the final destination address of the packet is the DODAG Root of the RPL Instance used by the  
 406 packet, the tunnel exit point MAY be set to that address.

407 A ZIP node MUST ensure that the insertion of a RPL extension header, either directly or via IPv6-in-  
 408 IPv6 tunneling, does not cause IPv6 fragmentation. This is done by using a different MTU value for  
 409 packets where the IPv6 header includes a RPL extension header. The RPL tunnel entry point SHOULD  
 410 be considered as a separate interface whose MTU is set to the 6LoWPAN interface MTU plus  
 411 RPL\_MTU\_EXTENSION bytes.

412 A ZIP Host node SHOULD forward packets to its default parent router (this is the router through which  
 413 the Host has registered its address, as described in [RFC 6775]). If the parent router determines that the  
 414 packet needs to be forwarded using the RPL forwarding rules, it inserts the necessary RPL extension  
 415 header following the rules described above.

#### 416 5.4.4 Multicast Forwarding

417 The multicast scope value of 3 [RFC 4291] is defined as a “subnet-local” scope that comprises of all  
 418 the links and interfaces of all ZIP nodes within a single network. Thus a ZIP network forms a subnet-  
 419 local multicast zone [RFC 4007] with scope value of 3.

420 All ZIP nodes MUST join the subnet-scope-all-nodes multicast group (FF03:0:0:0:0:0:0:1) and  
 421 the subnet-scope-all-mpl-forwarders on their ZIP interface. All ZIP Routers MUST join the subnet-  
 422 scope-all-routers multicast group (FF03:0:0:0:0:0:0:2) on their ZIP interface. ZIP nodes MAY  
 423 join additional subnet-scope multicast groups based on administrative configuration.

424 ZIP nodes use the MPL protocol [MPL] for multicast IP packet dissemination. All ZIP nodes MUST  
 425 configure the ZIP interface as an MPL interface. All ZIP nodes may originate and receive MPL data  
 426 messages and ZIP routers also forward MPL data messages for other nodes.

427 The MPL protocol requires each forwarder to participate in at least one MPL domain identified by the  
 428 subnet-scope-all-mpl-forwarders group. Additionally, ZIP nodes MUST participate in the MPL  
 429 domains identified by each of the subnet-scope multicast addresses that are subscribed on the ZIP  
 430 interface.

431 ZIP nodes must configure the MPL parameters as follows:

- 432 • PROACTIVE\_PROPAGATION flag MUST be set to true. This indicates that Proactive  
 433 Forwarding strategy is used.
- 434 • SEED\_SET\_LIFETIME MUST be set to value of at least 4 seconds.
- 435 • DATA\_MESSAGE\_IMIN = 512ms
- 436 • DATA\_MESSAGE\_IMAX = 512ms
- 437 • DATA\_MESSAGE\_K = infinite
- 438 • DATA\_MESSAGE\_TIMER\_EXPIRATIONS = 0 for ZIP Hosts and 3 otherwise
- 439 • CONTROL\_MESSAGE\_TIMER\_EXPIRATIONS = 0

440

441 Note that setting the DATA\_MESSAGE\_TIMER\_EXPIRATIONS parameter to a value of 0 on ZIP  
442 Hosts results in disabling forwarding and retransmission of MPL data messages. Similarly, setting the  
443 CONTROL\_MESSAGE\_TIMER\_EXPIRATIONS parameter to 0 on all ZIP nodes means that MPL  
444 control messages are not transmitted in a ZIP network.

445 MPL data messages contain the MPL Option in an IPv6 Hop-by-Hop header. ZIP nodes MUST  
446 configure the MPL Option as follows:

- 447 • The value of the S field must be set to 1 to indicate that the seed-id is a 16-bit value.
- 448 • The value of the seed-id field must be set to the MAC short address of the node originating the  
449 MPL data message.

## 450 5.5 Transport Layer

### 451 5.5.1 Connection Oriented Service

452 All ZigBee IP nodes MUST support the TCP (Transmission control protocol) protocol as defined in  
453 [RFC 793].

### 454 5.5.2 Connectionless Service

455 All ZigBee IP nodes MUST support the UDP (User Datagram Protocol) protocol as defined in [RFC  
456 768].

## 457 5.6 PANA

458 The Protocol for Carrying Authentication for Network Access [RFC 5191] MUST be used as the EAP  
459 transport for carrying authentication data between a joining Node and the Authentication Server. This  
460 section defines constraints and specifications above and beyond those specified in [RFC 5191] and  
461 [RFC 6786], which MUST be the definitive documents.

### 462 5.6.1 PRF, Integrity and Encryption Algorithms

463 The following algorithm identifiers MUST be used:

464

Algorithm	Type	Value	Comment
PRF	PRF_HMAC_SHA2_256	5	IKEv2 Transform Type 2
AUTH	AUTH_HMAC_SHA2_256	12	IKEv2 Transform Type 3
Encryption	AES128-CTR	1	PANA Encryption-Algorithm AVP Values

465 **Table 2: PANA algorithm identifiers**

466

467 These identifiers are assigned through the IANA (Internet Assigned Numbers Authority) protocol  
468 registries [IANA] for IKEv2 and PANA protocols.



## 469 5.6.2 Network Security Material

470 The PANA protocol is used to transport the network security material from the Authentication server to  
 471 each authenticated node in the ZigBee IP network. This security material is used by each node to  
 472 further derive encryption keys that are used to provide security for other protocols. The network  
 473 security material consists of the following parameters

474

Parameter	Size	Comment
Network Key	16 octets	The common network wide security key that is transported using PANA by the Authentication Server to all authenticated ZIP nodes in the network
Key sequence number	1 octet	The sequence number associated with this network key
Node Auth Counter	1 octet	The value of the authentication counter to be used by each node. This parameter is unique for each node in the network.

475

**Table 3: Network security material**

476

477 The Network Key is owned and managed by the Authentication Server. Each Network Key has an  
 478 associated sequence number which takes values between 1 and 255. The Authentication Server  
 479 manages updates of the Network Key and associated sequence number and specifies which Network  
 480 Key is active.

481 Additionally, the Authentication server manages an Auth Counter parameter for each node in the  
 482 network. The combination of the Network Key, Key sequence number and Auth Counter is transported  
 483 as a single entity by the Authentication server to each node.

## 484 5.6.3 Vendor-specific AVP's

485 The following ZigBee Alliance vendor-specific PANA AVP's are defined to support the transport and  
 486 update of network security material. As these are vendor-specific AVP's, they shall be defined in this  
 487 document and shall not be defined or referenced in any other document than this one.

488 The private enterprise number (PEN) for the ZigBee Alliance is 37244 [IANA].

### 489 5.6.3.1 Network Key AVP

490 The purpose of this AVP is to securely transport the network security parameters from the  
 491 Authentication server to each node.

```

492 struct PANAAVP {
493     uint16 code = 1; /* ZigBee Network Key */
494     uint16 flags = 1; /* Vendor-specific */
495     uint16 length = 18;
496     uint16 rsvd = 0;
497     uint32 vendor_id = 37244; /* ZigBee Alliance PEN */
498     struct ZBNWKKEY {
499         uint8 nwk_key[16]; /* NwkKey */
500         uint8 nwk_key_idx; /* NwkKeyId */
501         uint8 auth_cntr; /* AuthCntr */
502     };
503     struct AVPPad {
504         uint8 bytes[2];
505     };
506 };
  
```

507 **5.6.3.2 Key Request AVP**

508 The purpose of this AVP is to allow a PaC to request the PAA to transport either a new network key or  
509 an updated auth counter for the current network key. Support for this AVP is OPTIONAL for ZIP  
510 nodes.

```

511 struct PANAAVP {
512     uint16 code = 2; /* ZigBee Key Request */
513     uint16 flags = 1; /* Vendor-specific */
514     uint16 length = 2;
515     uint16 rsvd = 0;
516     uint32 vendor_id = 37244; /* ZigBee Alliance PEN */
517     struct ZBNWKKEYREQ {
518         uint8 nwk_key_req_flags; /* request flags */
519         uint8 nwk_key_idx; /* NwkKeyId */
520     };
521     struct AVPPad {
522         uint8 bytes[2];
523     };
524 };

```

525 **5.6.4 Timeouts**

526 Retransmission timers are specified in Section 9 of [RFC 5191]. The following values SHOULD be  
527 used:

528

Parameter	Value	Comment
PCI_IRT	1 sec	Initial PCI timeout.
PCI_MRT	120 secs	Max PCI timeout value.
PCI_MRC	5	Max PCI retransmission attempts.
PCI_MRD	0	Max PCI retransmission duration.
REQ_IRT	15 sec	Initial Request timeout.
REQ_MRT	30 secs	Max Request timeout value.
REQ_MRC	5	Max Request retransmission attempts.
REQ_MRD	0	Max Request retransmission duration.

529 **Table 4: PANA timeout values**

530 **5.7 EAP**

531 The Extensible Authentication Protocol (EAP) is an authentication framework which supports multiple  
532 authentication methods (known as EAP methods). This section defines constraints and specifications  
533 above and beyond those specified in [RFC 3748].

534 The ZIP Coordinator MUST function as an EAP authenticator while all other nodes MUST function as  
535 an EAP peer.



### 536 **5.7.1 EAP Identity**

537 The EAP Request/Identity message is OPTIONAL. However the EAP Response/Identity MUST be  
538 supported by the client in response to the Request/Identity. The EAP identity given in response to an  
539 EAP Request/Identity MUST be “anonymous” to prevent any information about the EAP client/peer  
540 being revealed in cleartext during the initial transactions of the authentication. The string MUST NOT  
541 be null-terminated, i.e. shall have a length of 9 octets.

## 542 **5.8 EAP-TLS**

543 EAP-TLS represents a specific type of EAP method (see [RFC 3748]). This section defines constraints  
544 and specifications above and beyond those specified in [RFC 5216].

### 545 **5.8.1 EAP Key Expansion**

546 [RFC 5216] specifies the key expansion for derivation of keying and IV material. This section defines  
547 the specific expansion for the cipher suites used and the use of the outputs.

```
548 MSK = PRF(master_secret, "client EAP encryption", ClientHello.random +  
549 ServerHello.random);
```

550 Note the string "client EAP encryption" MUST NOT be null-terminated, i.e. it shall be a length of 21  
551 octets.

552 The PRF function MUST be iterated twice as MSK length is 64 octets and the hash output from SHA-  
553 256 is only 32 octets. The EMSK MUST NOT be used and therefore does not need to be generated.

554 MSK MUST be used as specified in [RFC 5191] and [RFC 6786] to generate PANA\_AUTH\_KEY and  
555 PANA\_ENCR\_KEY.

### 556 **5.8.2 EAP-TLS Fragmentation**

557 It is mandatory for EAP-TLS peers and servers to support fragmentation as described in [RFC 5216]  
558 section 2.1.5. EAP peers and servers MUST support EAP-TLS fragmentation. When performing EAP-  
559 TLS fragmentation, ZIP nodes MUST ensure that the maximum size of TLS data in a single EAP  
560 packet is not greater than EAP\_TLS\_MTU octets. However ZIP nodes MUST still be capable of  
561 receiving EAP packets up to the maximum MTU size as they may originate from outside the ZigBee IP  
562 network. As the EAP fragments are transported over a reliable lower layer (PANA), retransmission at  
563 the EAP layer SHOULD be disabled as described in section 4.3 of [RFC 3748].

## 564 **5.9 TLS**

565 Transport Layer Security version 1.2 (TLS) is used in conjunction with PANA, EAP and EAP-TLS to  
566 provide authentication between a joining node and the Authentication Server. This section defines  
567 constraints and specifications above and beyond those specified in [RFC 5246].

### 568 **5.9.1 TLS Cipher Suites**

#### 569 **5.9.1.1 TLS-PSK Cipher Suite**

570 The PSK cipher suite MUST be TLS\_PSK\_WITH\_AES\_128\_CCM\_8 as defined in [RFC 6655].

571 [RFC 4279] specifies the generation of the master secret from the pre-master secret. The specific  
572 generation for the PSK cipher suite used is described below:

```
573 master_secret = PRF(pre_master_secret, "master secret", ClientHello.random +  
574 ServerHello.random);
```

575 Note the string “master secret” MUST NOT be null-terminated, i.e. it shall be a length of 13 octets.

576 The PRF function MUST be iterated twice as master\_secret length is 48 octets and the hash output  
577 from SHA-256 is only 32 octets.

578 **5.9.1.2 TLS-ECC Cipher Suite**

579 The ECC cipher suite MUST be TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_CCM\_8 as defined in  
580 [TLS-ECC-CCM].

581 The only elliptic curve to be used with this cipher suite MUST be the secp256r1 curve (also known as  
582 the NIST-P256 curve) as defined in [ECDP].

583 The hash algorithm to be used with this cipher suite MUST be SHA-256.

584 **5.9.2 TLS Key Expansion**

585 [RFC 5246] specifies the key expansion for derivation of keying and IV material. This section defines  
586 the specific expansion for the cipher suites used and the use of the outputs.

587 `key_block = PRF(master_secret, "key expansion", ServerHello.random +`  
588 `ClientHello.random);`

589 Note the string "key expansion" MUST NOT be null-terminated, i.e. shall be a length of 13 octets.

590 The PRF function MUST be iterated twice as `key_block` length is 40 octets and the hash output from  
591 SHA-256 is only 32 octets:

- 592 • `client_write_MAC_key` and `server_write_MAC_key` lengths are 0 due to use of AEAD cipher
- 593 • `client_write_key` and `server_write_key` lengths are 16 bytes (SecurityParameters  
594 `enc_key_length` for [RFC 6655] and [TLS-ECC-CCM])
- 595 • `client_write_IV` and `server_write_IV` lengths are 4 bytes (SecurityParameters  
596 `fixed_iv_length` for [RFC 6655] and [TLS-ECC-CCM])
- 597 • A total of 40 bytes shall therefore be required for keying material:
  - 598 ○ `client_write_key` MUST be `key_block[0:15]`
  - 599 ○ `server_write_key` MUST be `key_block[16:31]`
  - 600 ○ `client_write_IV` MUST be `key_block[32:35]`
  - 601 ○ `server_write_IV` MUST be `key_block[36:39]`

602 **5.9.2.1 CCM Inputs**

603 There is only one CCM-protected record in the TLS sequence. This section defines the inputs for the  
604 AEAD cipher as defined in section 2.1 of [RFC 5116]

605 **5.9.2.1.1 CCM Key Input**

606 The key 'K' is `client_write_key` or `server_write_key`, depending on whether the client or server is  
607 encrypting.

608 **5.9.2.1.2 CCM Nonce Input**

609 The nonce is 12 octets long, as specified in [RFC 5116] and MUST be as follows:

610

Field	Octets	Value	Comment
IV data	0:3	-	Client IV or server IV depending on which is encrypting
Explicit nonce	4:11	{0,0,0,0,0,0,0}	Sequence counter for Finished handshake

611 **5.9.2.1.3 CCM Payload Input**

612 The payload MUST be the TLS record including the header.

613 **5.9.2.1.4 CCM Associated Data Input**

614 The associated data ('A') MUST be 13 octets long:

615

Field	Octets	Value	Comment
Explicit nonce	0:7	{0,0,0,0,0,0,0,0}	Sequence counter for Finished handshake
TLS record type	8	22	TLS handshake identifier
TLS Protocol Major	9	3	TLS 1.2
TLS Protocol Minor	10	3	TLS 1.2
TLS length MSB	11	-	Length of TLS record MSB
TLS length LSB	12	-	Length of TLS record LSB

616

617 **5.10 MAC Layer Security**618 **5.10.1 MAC Security Material**619 The MAC security material is derived by each node from the network security material (see Section  
620 5.6.2) received through the PANA authentication or PANA key update process as described below

621 The MAC Key is set to the higher 16 octets of the result of

622 
$$\text{HMAC-SHA256}(\text{Network Key}, \text{"ZigBeeIP"})$$

623 The Key Index is set to the Network Key sequence number

624 The initial value of Outgoing frame counter is set to the following

625 
$$\text{Node Auth counter} \parallel 00\ 00\ 00$$
626 where  $\parallel$  is the concatenation operator and Node Auth counter is in the most significant  
627 byte position. The value of this field MUST be incremented by one each time the associated Key is  
628 used to secure a message.629 The MAC security material is used to create a KeyDescriptor entry in the MAC Key Table described  
630 below. If the MAC Key Table is full, an existing entry, which is not the current active key, MUST be  
631 deleted to store the new KeyDescriptor entry.

632 Each ZIP node MUST maintain an attribute containing the Key Index of the current active MAC key.

633 When a first MAC KeyDescriptor entry is created, the active key index is set to the value of its key  
634 index. The active key index is updated subsequently through the network keys update mechanism (see  
635 Section 6.10.3).636 The IEEE address-based EUI-64 MAC address of the originator, the active MAC Key and the active  
637 MAC Key Index MUST be used to secure outgoing MAC data packets.638 The procedures identified in Section 7.5.8 of [802.15.4] MUST be followed for applying MAC  
639 security. The following sections indicate the mode of operation applied to MAC layer security

640 Note that the MAC security attribute data that is described in the subsequent sections reflects the  
641 functional specification in [802.15.4]. The organization of the data is not optimized for storage space  
642 and does not imply any particular method of implementation.

643 **5.10.1.1 Default Key Source**

644 A participating Node (i.e. one which has joined and has been authenticated and authorized) MUST  
645 have the following set.

646

PIB attribute	Value	Comment
<i>macDefaultKeySource</i>	0xff00000000000000	Arbitrary value indicating the MAC Key. There is no need to store the actual IEEE address of the originator of the Network Key, as this may not be known

647

648 **5.10.1.1.1 Use of Key Identifier Mode 1**

649 The Key Identifier Mode 1 MUST be used in conjunction with a MAC Key. This implies the use of  
650 *macDefaultKeySource*. For a global MAC Key used in conjunction with a MAC Key Index, this often  
651 means the lookup data required to be stored reduces to the MAC Key Index only as there is no need to  
652 store the value of *macDefaultKeySource* along with the Network Key Index. This mechanism is used as  
653 a convenience to limit the number of Key ID modes in [802.15.4].

654 **5.10.2 MAC Key Table**

655 Note that [802.15.4] separates key storage from device descriptor storage and uses handles in key  
656 storage to point to the relevant device descriptors.

657 A participating Node SHOULD have the following set. There is one active MAC Key and  
658 (MAC\_MAX\_NWK\_KEYS – 1) backup MAC Keys.

659

PIB attribute	Value	Comment
<i>macKeyTable</i>	KeyDescriptor entries	One entry for the active MAC Key, additional entries for backup MAC Keys
<i>macKeyTableEntries</i>	MAC_MAX_NWK_KEYS	One entry for the active MAC Key, additional entries for backup MAC Keys

660

**Table 5: Participating Node key table**

661

662 A ZIP node SHOULD have the following KeyDescriptor entry set for each MAC Key:

663

KeyDescriptor attribute	Value	Comment
KeyIdLookupList	One KeyIdLookupList entry	Entry for this MAC Key
KeyIdLookupListEntries	1	One entry for this MAC Key

KeyDeviceList	KeyDeviceList entries	Entries in the MAC device table
KeyDeviceListEntries	(variable)	Number of entries in the MAC device table
KeyUsageList	KeyUsageList entries	One key usage for MAC data frames
KeyUsageListEntries	1	One key usage for MAC data frames
Key	(variable)	The MAC Key value

664 **Table 6: Key descriptor**

665

666 The KeyIdLookupList entry SHOULD have the following set:

667

KeyIdLookupDescriptor attribute	Value	Comment
LookupData	<i>macDefaultKeySource</i> // KeyIndex	Only the KeyId needs to be stored. KeyIndex is the MAC Key Index associated with this MAC Key
LookupDataSize	0x01	Size 9 octets

668 **Table 7: KeyID lookup descriptor**

669

670 A KeyDeviceList entry points to a Device Descriptor. Each KeyDeviceList entry SHOULD have the  
671 following set:

672

KeyDeviceDescriptor attribute	Value	Comment
DeviceDescriptorHandle	Implementation-specific	Points to the appropriate Device Descriptor
UniqueDevice	0	The key is not unique per Node
Blacklisted	Boolean	Initially set to FALSE

673 **Table 8: KeyDeviceList entry**

674

675 ZIP nodes SHOULD have one KeyUsageList entry that indicates that the MAC key is valid to be used  
676 for MAC data frames. Due to a static policy, this data can be implied and no storage is needed. The  
677 entry for MAC data frames MUST have the following set:

678

KeyUsageDescriptor attribute	Value	Comment
FrameType	0x02	MAC data frame

679 **Table 9: KeyUsageList entry for MAC data frames**

680

681 **5.10.3 MAC Device Table**

682 A ZIP node SHOULD have the following set. There is one DeviceDescriptor entry for each neighbor  
683 node this node is in communication with. A ZIP Router SHOULD be capable of having atleast  
684 MAC\_MIN\_DEV\_TBL entries in the MAC device table

685

PIB attribute	Value	Comment
<i>macDeviceTable</i>	DeviceDescriptor entries	One entry for each neighbor Node this Node is in communication with
<i>macDeviceTableEntries</i>	(variable)	One for each neighbor Node this Node is in communication with

686

**Table 10: MAC device table entry**

687

688 The DeviceDescriptor entry for each neighbor node contains the following information

689

DeviceDescriptor attribute	Value	Comment
PANId	2 bytes	The PAN ID of the neighbor Node. Note this data can be implied and no storage is needed as the neighbor Node will have the same PAN ID as this Node
ShortAddress	2 bytes	The short address allocated to the neighbor Node
ExtAddress	8 bytes	The IEEE address of the neighbor Node
FrameCounter	4 bytes	The incoming frame counter of the most recently received MAC frame from the neighbor Node
Exempt	FALSE	Exempt flag irrelevant as no security policy at the MAC layer is in place, therefore this data can be implied and no storage is needed

690

**Table 11: Participating node device descriptor entry**

691

692 Note that [802.15.4] allows each of the KeyDescriptors to have a separate KeyDeviceList (list of  
693 DeviceDescriptors) that indicate the neighbor nodes that are eligible to use the particular key. A ZIP  
694 node MUST maintain the same DeviceDescriptor list, consisting of all entries in the MAC Device  
695 table, as the KeyDeviceList for each of its KeyDescriptors. This implies that each Key is valid to be  
696 used with any of the neighbor nodes.

697 **5.10.4 Security Level Table**

698 There is no security policy at the MAC layer. The Enforcement Point performs policing based on the  
699 specification in section 6.9.4. Therefore, all ZIP nodes MUST have the following set:

700

PIB attribute	Value	Comment
<i>macSecurityLevelTable</i>	Empty	No security policy at MAC layer

<i>macSecurityLevelTableEntries</i>	0	No security policy at MAC layer
-------------------------------------	---	---------------------------------

701

**Table 12: Security level table**702 **5.10.5 Auxiliary Security Header Format**

703 The MAC frame Auxiliary Security Header (see Section 7.6.2 of [802.15.4]) is used when a MAC  
704 frame is secured to provide additional data required for security.

705 **5.10.5.1.1 Security Control Field**

706 The Security Control field MUST have the following values:  
707

Field	Value	Comment
Security Level	0x05	ENC-MIC-32 is the default value for ZigBee IP link-layer security
Key Identifier Mode	0x01	Key is determined from the 1-octet Key Index subfield of the Key Identifier field of the auxiliary security header in conjunction with <i>macDefaultKeySource</i>

708

**Table 13: Security control field**709 **5.10.5.1.2 Frame Counter Field**

710 The Frame Counter field MUST assume the value of the *macFrameCounter* PIB attribute

711 **5.10.5.1.3 Key Identifier Field**

712 The Key Identifier MUST be the MAC Key Index associated with the active MAC Key.

713 **5.11 Mesh Link Establishment**

714 The mesh link establishment protocol [MLE] provides a mechanism for nodes in a mesh network to  
715 exchange node and link properties with their neighbor nodes using the UDP protocol. Additionally, it is  
716 used to propagate link configuration information to all nodes in the ZigBee network.

717 All ZigBee IP nodes MUST implement the MLE protocol.

718 **5.11.1 MLE Link Configuration**

719 All ZIP nodes MUST support the transmission and reception of MLE link configuration messages. This  
720 includes the Link Request, Link Accept, Link Accept and Request, Link Reject messages. These  
721 messages are used to exchange the 802.15.4 interface properties and authenticate the frame counter  
722 value used by a neighbor node. These messages MAY include the following TLV options in the  
723 payload

- 724
- Source address (TLV type = 0) TLV is used by a node to communicate its 16-bit short address and 64-bit EUI-64 address of the 802.15.4 interface.
- 725
- Mode (TLV type = 1) TLV is used by a node to communicate the node capability information. The Value field MUST be 1 octet in length and formatted as shown below.
- 726  
727

728

bits: 0	1	2	3	4 – 7
---------	---	---	---	-------

Reserved	FFD	Reserved	RxOnIdle	Reserved
----------	-----	----------	----------	----------

729

730 The FFD bit MUST be set to one by all nodes that are not ZIP Hosts. The RxOnIdle bit  
731 MUST be set to one by all nodes that have the radio enabled continuously (i.e., non-sleepy  
732 nodes). The reserved bits MUST be set to zero on transmission and ignored on reception

733 • Timeout (TLV type = 2) TLV is used by a sleepy Host node to communicate the period of  
734 inactivity after which the Host can be considered unreachable by its parent node. A sleepy  
735 Host node SHOULD perform periodic MAC polls with period lower than this value.

736 • Challenge (TLV type = 3) and Response (type = 4) TLV's are used by a pair of nodes to  
737 authenticate each other's MAC frame counter values. The Value field in the Challenge TLV  
738 MUST be set to a random value that is 8 octets long.

739 • Replay counter (TLV type = 5) TLV is used to communicate the value of the MAC outgoing  
740 frame counter.

741 **5.11.2 MLE Advertisement**

742 All ZIP routers MUST support the transmission and reception of the MLE Advertisement messages.  
743 This message is used to exchange bidirectional link quality with neighbor routers. The bidirectional  
744 link quality is used to improve the quality of the RPL parent selection. Additionally, this message is  
745 used to detect changes in the set of neighboring routers.

746 A ZIP router that has joined the network MUST periodically transmit the MLE Advertisement message  
747 every MLE\_ADV\_INTERVAL.

748 The MLE Advertisement message MUST contain the Link quality (TLV type = 6) TLV in its payload.  
749 The neighbor records in this TLV MUST be populated with information about the nodes in the MAC  
750 device table of the originating node. The Neighbor Address field in each of the neighbor records  
751 MUST be populated with the 16-bit short address of the particular neighbor node. The P (priority) flag  
752 SHOULD be set for neighbor nodes that are part of the RPL parent set. This is to give an indication to  
753 those neighbors that they SHOULD prioritize maintenance of link with this node.

754 A ZIP router MUST remove the MAC device table entry corresponding to a neighbor router if it did  
755 not receive an MLE Advertisement message from that neighbor router containing a neighbor record for  
756 itself in MLE\_ADV\_TIMEOUT.

757 **5.11.3 MLE Update**

758 The ZIP coordinator MUST support origination of MLE Updates messages. All ZIP nodes MUST  
759 support the reception of the MLE Update messages.

760 The MLE Update message is used by the ZIP coordinator to configure the value of various link layer  
761 specific parameters in the network. The MLE Update message MUST contain only one instance of the  
762 Network Parameter TLV. This TLV MUST contain one of the following parameters

763 • The Channel network parameter is used to configure the channel that MUST be used by the  
764 node. It MUST contain a Value field of length 2 octets. The higher order byte of the Value  
765 field contains the channel page number and the lower order byte contains the channel number.  
766 The definition of the channel pages and channel numbers for each physical layer is in  
767 [802.15.4].

768 • The PAN ID network parameter is used to configure the 802.15.4 PAN identifier value that  
769 MUST be used by the nodes in the network. It MUST contain a Value field of length 2 octets  
770 that contains the new Pan Identifier. A receiving node MUST use this value to update the  
771 corresponding attribute in its MAC layer. Additionally, it MUST update the corresponding  
772 field in each of the MAC device descriptor entries (see Table 12).



- 773       • The Permit joining network parameter is used to configure the Allow Join field that SHOULD  
774       be used by the node (see Section 6.3.1). It MUST contain a Value field of length 1 octet. A  
775       ZIP Router MUST use the value of the lowest significant bit in this octet to set the value of the  
776       Allow Join parameter in its beacon payload. The other bits in the Value field MUST be set to  
777       zero on transmission and ignored on reception.
- 778       • The beacon payload network parameter is used to configure the optional fields in the beacon  
779       payload (see Section 6.3.1). The receiving node replaces all the Optional fields in its current  
780       beacon payload (see Table 16) with the contents of the Value field in this message. Since only  
781       a single Parameter TLV can be included in an MLE Update message, the ZIP Coordinator  
782       MUST ensure that it includes the complete concatenated set of all the Optional fields in a  
783       single TLV. Note that this can also be a zero length value if no Optional fields are to be  
784       included in the beacon payload.
- 785       The Network parameter TLV format contains a Delay field that is used to specify the delay value  
786       before the receiving node takes action to configure the appropriate parameter. When the parameter is  
787       either the Channel or Pan ID, the Delay field SHOULD be larger than the time it takes for the multicast  
788       packet propagation in the network. This is to ensure that all nodes receive the MLE Update packet  
789       before any of them change their parameter. A RECOMMENDED value value is 5 seconds.
- 790       ZIP nodes MAY ignore an MLE Update message with a Network Parameter TLV if a previous  
791       message with the same Parameter has not yet been acted upon. A ZIP Coordinator SHOULD ensure  
792       that successive MLE Update messages with the Network Parameter have sufficient delay between them  
793       to avoid this scenario.
- 794       In rare situations, a ZIP node may become stranded if the MLE Update message with channel or pan-id  
795       change is not received correctly by all nodes. The detection of this state on each node is out-of-scope of  
796       this specification. The recovery procedure is to perform a network discovery on all channels to find the  
797       network and then attempt a network rejoin.
- 798       MLE Update messages MUST be sent to the subnet-local all-routers or subnet-local all-nodes multicast  
799       address.

#### 800       **5.11.4 MLE Message Security**

- 801       MLE messages are sometimes exchanged before a node has joined the network and configured secure  
802       links with its neighbor nodes. Therefore, MLE messages cannot always rely on MAC security and  
803       MLE protocol defines its own mechanism to secure its payload.
- 804       MLE Link configuration messages SHOULD be secured at the MLE layer and unsecured at the MAC  
805       layer. A Link configuration message without any security is possible during the initial phase of the  
806       node bootstrapping process when the new node has not yet acquired the security material.  
807       Subsequently, a node MUST always apply security to Link configuration messages. A ZIP node MUST  
808       ensure that an incoming Link configuration message that does not have MLE security does not change  
809       any state information for existing node entries. The sender MUST use its LL64 IP address as the source  
810       address for these packets.
- 811       MLE Link Advertisement messages MUST be secured at the MLE layer and SHOULD be sent  
812       unsecured at the MAC layer. The sender MUST use its LL64 IP address as the source address for these  
813       packets. An incoming MLE Link Advertisement packet that does not have MLE security MUST be  
814       discarded. A node SHOULD verify the freshness of MLE Link Advertisement messages from nodes  
815       with which it has configured a secure link.
- 816       MLE Update messages SHOULD not be secured at the MLE layer and MUST be secured at the MAC  
817       layer. These messages are only sent to nodes that are already part of the network, so it is possible to  
818       apply MAC layer security. Additionally, since MLE Update messages are sent to a subnet local  
819       multicast address, it MUST use MAC security or the packets would not be forwarded by the other ZIP  
820       nodes (see Section 6.9.4). Also, it not possible to use MLE security for these packets as the sending and  
821       receiving nodes may not have a secure link configured with each other unless they are in direct radio  
822       range.

823 **5.11.5 MLE Security Material**

824 The security material used for securing MLE packets contains the following parameters

825

Parameter	Size	Comment
MLE Key	16 octets	The MLE Key
Key Index	1 octet	The key index associated with this Key
Outgoing frame counter	4 octets	The value of the frame counter used to secure outgoing MLE messages with this key

826

**Table 14: MLE security material**

827

828 The MLE security material is derived by each node from the network security material (see Section  
829 5.6.2) received through the PANA authentication or PANA key update process as described below

830 The MLE Key is set to the lower 16 octets of the result of

831 `HMAC-SHA256(Network Key, "ZigBeeIP")`

832 The Key Index is set to the Network Key sequence number

833 The initial value of Outgoing frame counter is set to the following

834 `Node Auth counter || 00 00 00`

835 where || is the concatenation operator and Node Auth counter is in the most significant  
836 byte position. This value of this field MUST be incremented by one each time the associated Key  
837 is used to secure a message.

838 A ZIP node MUST store the MLE security material derived from the two most recent network security  
839 materials that originated from the Authentication server. These are designated as active and alternate  
840 MLE security material.

841 When new security material is received originating from the Authentication server, it MUST be stored  
842 in the active location if that is empty. Otherwise, it MUST be stored in the alternate location.

843 Security for outgoing MLE packets MUST be applied by using the active MLE security material.  
844 Security for incoming MLE packets MUST be applied by using the MLE security material with the  
845 index that matches the index contained in the MLE auxiliary security header of the incoming message.

846 The security control field in the MLE message auxiliary header MUST use the same values as used for  
847 MAC layer security. The security level MUST be 5 (CCM encryption with 4 byte MAC) and the key  
848 identifier mode MUST be 1. The address used for the CCM nonce MUST be the node's 64-bit MAC  
849 address. The frame counter MUST be the MLE outgoing frame counter.

850

## 851 **6 Functional Description**

### 852 **6.1 Overview**

853 A ZigBee IP network consists of a set of nodes that include a single ZIP Coordinator node and multiple  
854 ZIP Router and ZIP Host nodes. These nodes form a single PAN from an IEEE 802.15.4 perspective.  
855 From an IPv6 perspective, they form a single multilink subnet with a common prefix.

856 A ZigBee IP network is formed by the ZIP Coordinator when it starts operation as an IEEE 802.15.4  
857 PAN coordinator and configures its IEEE 802.15.4 interface as an IPv6 router.

858 Once the network is created, other nodes can join the network as either ZIP Routers or ZIP Hosts,  
859 depending on their capabilities.

860 A new node can join the network through a three step process of network discovery, network admission  
861 and network authentication that are described in more detail in later sections. Once a node has joined  
862 the network, it may allow other nodes to join through it if it is a ZIP router. This allows the formation  
863 of a wireless mesh network that extends beyond the radio range of the ZIP Coordinator.

864 Nodes that are part of a ZigBee IP network share a unique network key that is used to derive other  
865 encryption keys which are then used to secure all packets at the link layer. A node acquires this key  
866 during the initial join process and it may be updated over time.

### 867 **6.2 Network Formation**

#### 868 **6.2.1 MAC Configuration**

869 A node that is administratively configured to form a new IEEE 802.15.4 PAN will perform the  
870 following steps.

- 871 • The node conducts a MAC energy detect scan on all the preconfigured channels and identifies  
872 channels with energy level below a configured threshold. The list of channels to scan is  
873 administratively configured.
- 874 • The node conducts a MAC active scan using the standard beacon request on the channels  
875 selected in the previous step.
- 876 • The node then selects a channel with the least number of existing IEEE 802.15.4 networks.
- 877 • The node chooses a PAN Identifier that does not conflict with any networks discovered in the  
878 previous steps and also configures a randomly generated 16-bit short address.
- 879 • The node starts an IEEE 802.15.4 PAN on the selected channel and PAN Identifier.

#### 880 **6.2.2 IP Configuration**

881 Upon starting a new PAN, the ZIP Coordinator shall prepare to configure the 6LoWPAN with 64-bit  
882 IPv6 global prefix(es) that are either globally unique or ULA [RFC 4193]. The prefix(es) are  
883 configured administratively or acquired from an upstream network via DHCPv6 prefix delegation or  
884 other means that are out-of-scope of this specification.

885 After the 6LoWPAN IPv6 prefix(es) have been configured, the ZIP Coordinator configures its IEEE  
886 802.15.4 interface with IPv6 address(es) composed of the 6LoWPAN prefix(es) and the interface  
887 identifier created from the node 16-bit MAC short address.

888 Note that the ZIP Coordinator may have other interfaces besides the IEEE 802.15.4 interface and the  
889 initialization of those interfaces is out of scope of this specification.

890 Once the IPv6 configuration is complete, the ZIP Coordinator participates in Neighbor Discovery (ND)  
891 protocol exchanges according to [RFC 6775]. The ZIP Coordinator configures the default context  
892 identifier as the /64 prefix assigned for use throughout the 6LoWPAN. The ZIP Coordinator MAY  
893 maintain other context identifiers up to a maximum of MIN\_6LP\_CID\_COUNT, including the default  
894 context. The ZIP Coordinator uses multi-hop prefix and context distribution as specified in [RFC  
895 6775].

896 The ZIP Coordinator initiates a new RPL Instance and forms a DODAG with the operational  
897 parameters from section 5.4.2.3. As additional nodes join the network, the ZIP Coordinator begins  
898 participating in RPL protocol exchanges according to [RFC 6550].

899 The ZIP Coordinator initializes the PANA authentication service. The network security material (see  
900 Section 5.6.2) is generated with a random 128-bit network key and a key sequence number of one. The  
901 MAC layer and MLE layers begin to use key material derived from the network security material.  
902 Additionally the Authentication server configures the network security material disseminated through  
903 the ZigBee vendor specific Network Key AVP (see Section 5.6.3).

904 **6.3 Network Discovery**

905 The network discovery procedure is used to discover other IEEE 802.15.4 networks that are within  
906 radio range. For each network, the NetworkID along with some associated information is discovered in  
907 this process.

908 ZigBee IP nodes perform network discovery using the MAC beacon functionality.

909 All ZigBee IP nodes MUST be capable of transmitting the MAC beacon request command packet. The  
910 ZIP Coordinator and all ZigBee IP Routers MUST be capable of processing a beacon request command  
911 and transmitting a beacon packet in response.

912 To perform network discovery, a ZigBee IP node transmits a beacon request packet and collects all the  
913 responses. This is typically used by a node before starting a new network so that it can identify existing  
914 PAN identifiers and channels that are being used locally.

915 The network discovery process also allows a node to discover the router nodes that are in radio range.  
916 One of these routers is selected as a “parent” router for the purpose of joining the network.

917 **6.3.1 Beacon Payload**

918 The MAC beacon command packet is transmitted in response to a beacon request packet. The beacon  
919 packet contains an application-configurable payload field that is used to convey information about the  
920 network. A ZigBee IP router MUST configure its beacon payload field as follows:

921

Octets: 0	1	2 – 17	18 – variable
ZigBee protocol identifier	Control field	ZIP NetworkID	Optional fields

922 **Table 15 : Beacon payload format**

923

- 924 • 1- octet Protocol ID – This field MUST be set to the value of 0x02 and is used to discover ZigBee  
925 IP networks and helps to distinguish them from other 802.15.4-based networks that are located in  
926 radio range.
- 927 • 1- octet Control field – This field is used to convey information to a joining device so that it can  
928 choose an appropriate network and parent router to join. It contains multiple sub-fields that are  
929 formatted as shown below.
- 930 •

Bits: 0	1	2	3 – 7
Allow join	Router capacity	Host capacity	Reserved

**Table 16: Beacon payload control field format**

931

932

933           ○ The Allow Join bit provides a hint to new joining nodes if this network is currently  
 934 allowing new nodes to join the network. It is set to value of one to indicate if this network  
 935 is currently allowing new device joins. The value of this field is configured by the node  
 936 management application on the ZIP Coordinator and propagated through the network  
 937 using upper layer protocols (see Section 5.11.3). When a ZIP Router initially joins the  
 938 network, it sets the value of this field to the same value that was used by its parent router.  
 939 Subsequently, the value of this field is configured based on incoming MLE Update  
 940 messages received from the ZIP Coordinator. In order to protect against loss of an MLE  
 941 Update message, a ZIP Router MUST automatically set this field to zero if it has been set  
 942 to one for a time greater than MLE\_MAX\_ALLOW\_JOIN\_TIME.

943           ○ The Host capacity and Router capacity bits are used to indicate if the source of the beacon  
 944 packet has the capacity to accept a new Host or Router node to join the network through  
 945 it. The value of these bits are set by the management entity on each node depending on its  
 946 resource availability (for example, depending on availability of space in neighbor cache  
 947 and MAC device table).

948           ○ The reserved bits MUST be set to zero on transmission and ignored upon reception.

949

950           • NetworkID – A 16-octet field, interpreted as ASCII characters, that is used to identify a specific  
 951 network to a user. The value of this field is administratively configured on the ZIP Coordinator.  
 952 Other ZIP Routers learn the value of this field from the beacon payload of the parent router  
 953 through which they join the network.

954

955           • Additional OPTIONAL fields of variable length MAY be included in the beacon payload using the  
 956 type-length-value format. Each optional field is formatted as shown below

957

Octets: 1		2 – Length
Bits: 0 – 3	4 – 7	
Length	Type	Value

958

**Table 17: Beacon payload optional field format**

959

960           ○ The Type subfield is 4-bits in length and identifies the type of the field. The following  
 961 values are defined

962

Type	Description
0	A 4-octet value that can be used as a node identifier to steer a specific node to join the network. As an example, this can be set to

	the truncated hash of the device certificate.
1 – 15	Reserved

963 **Table 18: Beacon payload optional field types**

964

- 965 ○ The Length subfield is 4-bits in length and identifies the length of the Value subfield in
- 966 octets.
- 967 ○ The Value subfield contains the value of the field.

968 A node **MUST** ignore any optional fields in the beacon payload that it does not support and continue to  
969 process the others.

970 **6.4 Network Selection**

971 The discovery procedure can result in discovery of multiple ZigBee IP networks in radio range. The  
972 selection of the actual network that a node **MUST** attempt to join is done via application-specific  
973 means. However the ZigBee IP specification provides various tools that can be used to “steer” a joining  
974 node towards the correct network that it **MUST** join. Some of these are described further below in this  
975 section.

- 976 • “Allow Join” flag indication – This flag is present in the beacon payload of all ZigBee IP  
977 routers. A joining node can examine this flag for all neighboring ZigBee IP routers to select  
978 the appropriate network. The routers in a network would normally set this flag to zero. When a  
979 new node is expected to join the network (as determined by application-specific means), this  
980 flag would be set to true for a specific period of time. The ZIP coordinator is responsible for  
981 propagating the value to be used in field to all routers in the network.

982 Note that this parameter is only a hint to the joining nodes. The behavior of a ZIP Router does  
983 not change based on the value of this field. Specifically, if a ZIP Router has this flag set to  
984 zero, it **MUST** still continue to allow new nodes to join through it. Only the ZIP Coordinator  
985 may reject the join attempt.

- 986 • “User selection” - The joining node would perform a beacon scan and discover all ZigBee IP  
987 networks in its radio range. It would then display information about the networks and allow a  
988 user to select the network it **SHOULD** join.
- 989 • “Preconfigured information” - The joining node could be configured with information about  
990 the specific network it **MUST** join. This information could be, for example, the NetworkID  
991 field in the beacon payload.
- 992 • “Device identifier” – The identifier of the joining node is included in the beacon payload. This  
993 method can be used if the identity of the joining node is known to the ZIP Coordinator, so that  
994 it can propagate this information to all the routers in the network for inclusion in the beacon  
995 payload.

996 Note that this is not an exhaustive list and an application may implement other means for selecting the  
997 network to join. Additionally, it **SHOULD** be noted that these mechanisms only provide “hints” to the  
998 joining node to aid in network selection. It is expected that after selecting a network and joining it, the  
999 node would use an application level registration mechanism to validate that it has joined the correct  
1000 network. If the node fails application validation, the management entity **SHOULD** blacklist that  
1001 network and repeat the network selection and joining process.

1002 **6.5 Node Joining**

1003 After network discovery and selection, the joining node performs the bootstrap procedure to gain  
1004 access to the network. The typical joining sequence is described in more detail in the following  
1005 subsections.

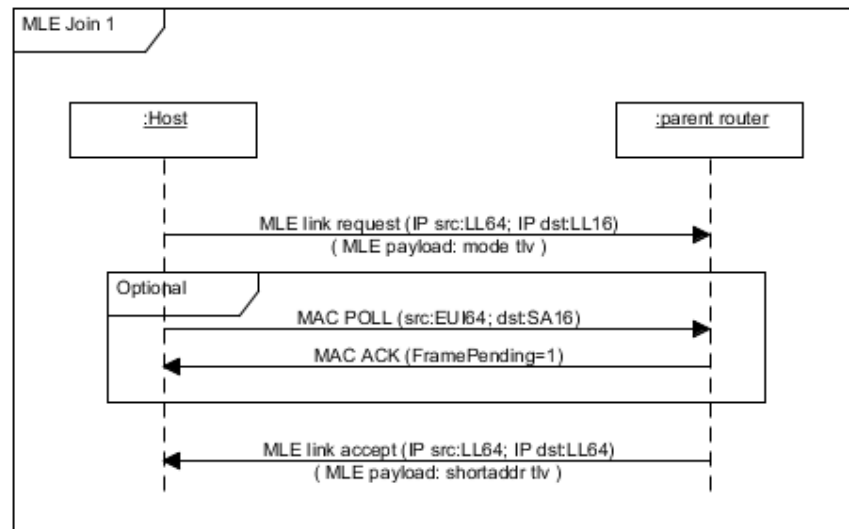
1006 **6.5.1 Host Bootstrapping**

1007 The ZigBee IP host node bootstrapping sequence is described below.

- 1008 1. The node performs the network discovery and selection procedure as described previously and  
1009 selects the appropriate network to join.
- 1010 2. A parent router is chosen from among the ZIP Routers that belong to the selected network.  
1011 This is usually the router that has available host capacity, which is indicated by setting the  
1012 Host capacity subfield in the beacon payload to 1, and whose beacon was received with the  
1013 best LQI (link quality indicator).
- 1014 3. The node configures its 802.15.4 MAC PAN Identifier to that of the selected target network.
- 1015 4. The node configures an IPv6 link local address for its 802.15.4 interface using the LL64  
1016 address format.
- 1017 5. If the node is a sleepy Host, it MUST use the MLE protocol exchange to inform the parent  
1018 router that it is a sleeping device and will use MAC polling feature for Layer-2 packet  
1019 transmission. This information is included in the Mode TLV option of the MLE Link request  
1020 packet.

1021 The parent router configures MAC polling for the node's EUI-64 address. If the parent router  
1022 has no capacity to accept a sleepy child node, it MUST reject the link request and the joining  
1023 node SHOULD then select another parent router and continue from step 2 of this process.

1024 If the node is a sleepy Host, it MUST perform the MAC polling using its EUI-64 address until  
1025 after it has configured a unique short address and registered it with its parent router using the  
1026 MLE protocol (see step 11 in this sequence).



1027

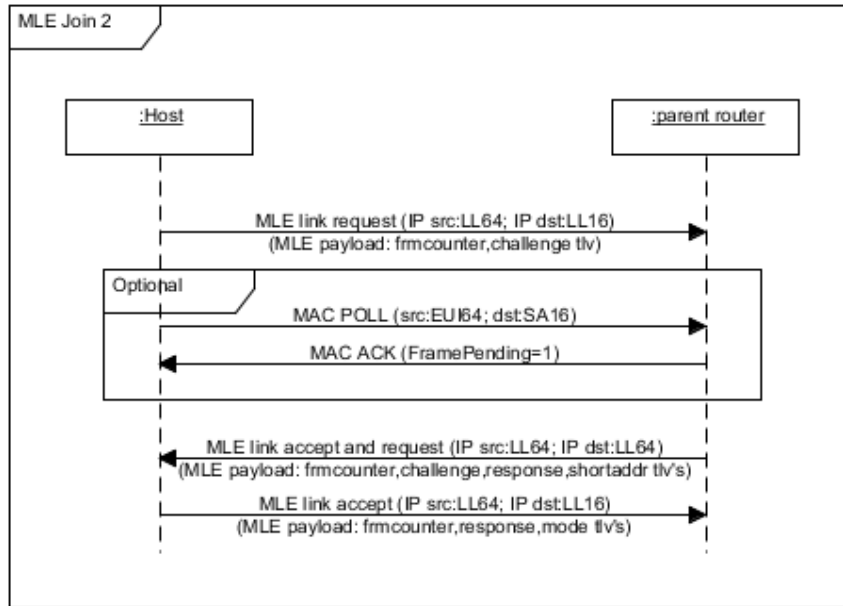
1028

**Figure 2: Join sequence – MLE 1**

1029

- 1030 6. The node performs network authentication using the PANA protocol. Upon successful  
1031 completion of this procedure, the node is admitted into the network and acquires the network  
1032 security material. See Section 8.3.4 for an example message sequence.
- 1033 7. The node performs a 3 way secured MLE handshake to synchronize frame counters with the  
1034 parent router. At the end of this procedure, the node knows the parent router's frame counter  
1035 and the parent router knows the node's frame counter.

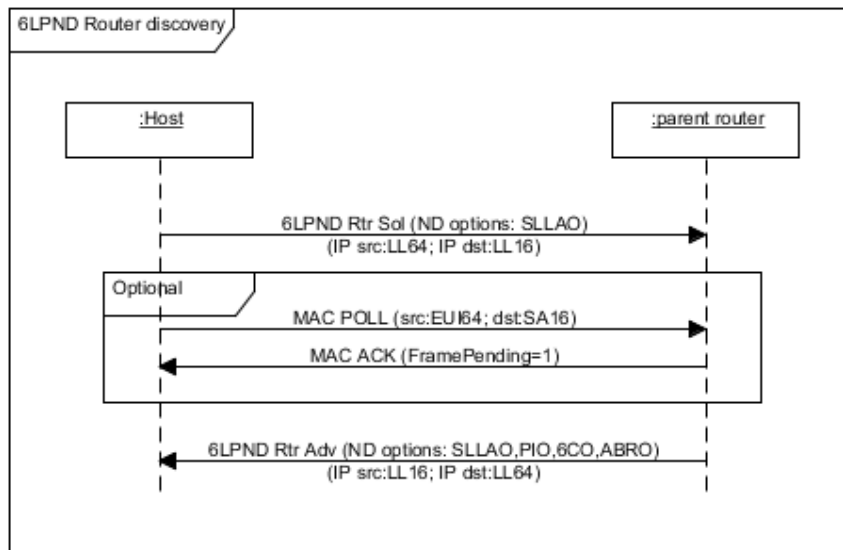




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1037  
1038  
1039  
1040  
1041  
1042

**Figure 3: Join sequence – MLE 2**

8. The node performs IPv6 router discovery described in [RFC 6775] by transmitting a Router Solicitation packet and waiting for Router Advertisement in response. The IPv6 prefix that is in use in the ZigBee IP network is extracted from the PIO option of the received Router Advertisement packets.

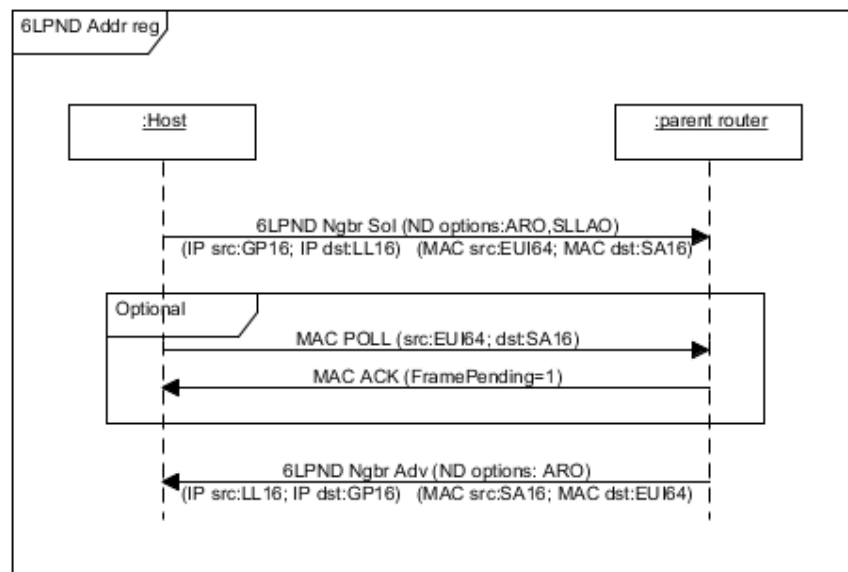


1043  
1044  
1045

**Figure 4: Join sequence - Router discovery**



- 1046 9. The node configures a randomly generated 16-bit address as its MAC short address. This  
 1047 address MUST NOT take the values 0xffff or 0xfffe, in accordance with the [802.15.4]  
 1048 specification. The node then configures an IPv6 global unicast address (GP16) and an IPv6  
 1049 link local address (LL16) using the IID formed from this 16-bit MAC short address.
- 1050 10. The node performs DAD (duplicate address detection) procedure for the IPv6 global unicast  
 1051 address as described in [RFC 6775]. The parent router uses the DAR (Duplicate address  
 1052 request) and DAC (Duplicate address confirmation) messages to register the GP16 address  
 1053 with the ZIP coordinator and check for uniqueness. Note that this also implies that the 16-bit  
 1054 MAC short address is unique within the ZigBee IP network. If the GP16 address is determined  
 1055 to be a duplicate, the node chooses a different GP16 address and repeats this process. Note  
 1056 that the node needs to use the GP16 address it is claiming as its IPv6 source address (as  
 1057 required by [RFC 6775]) during the 6LoWPAN neighbor discovery protocol exchange.  
 1058 However it MUST NOT use the corresponding 16-bit MAC short address until it has been  
 1059 confirmed as unique. Therefore, this message exchange contains use of mixed 64/16  
 1060 addressing modes (i.e. the IPv6 address is formed using the 16-bit MAC address as the IID,  
 1061 however, the MAC address used is the 64-bit address).



1062

1063

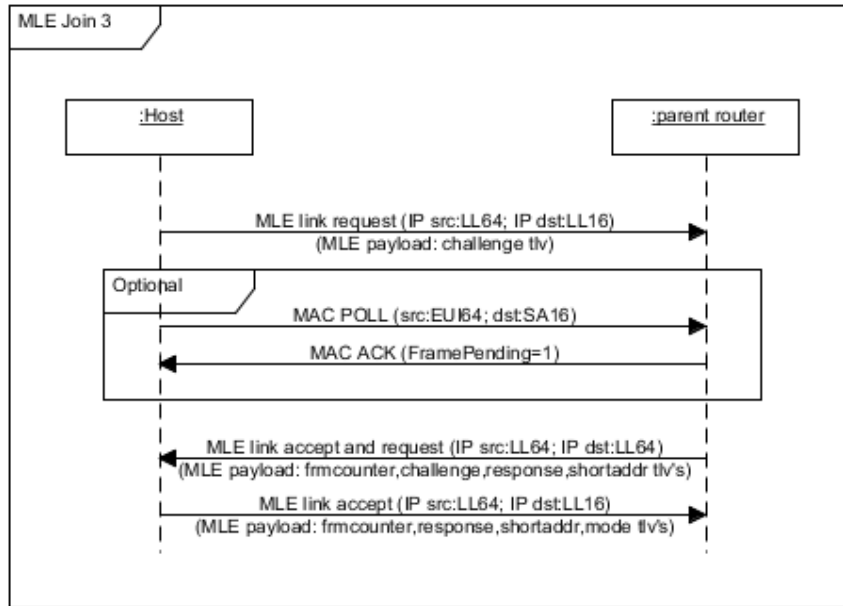
**Figure 5: Join sequence - Address registration**

1064

- 1065 11. The node performs a 3 way secured MLE handshake to securely exchange short addresses  
 1066 with the parent router. The node MUST include its 16-bit short address in the MLE payload  
 1067 in either the Link Request or Link Accept packets. At the end of this procedure, the node  
 1068 securely knows the parent router's short address and the parent router securely knows the  
 1069 nodes short address. If the node is a sleepy Host, it MUST begin to use its short address to  
 1070 perform MAC poll as soon as it has updated the parent node with its short address.

1071

1072



1073

1074

1075

Figure 6: Join sequence - MLE 3

1076

12. The parent router MUST check if the new node is a ZIP host. The Mode TLV in the MLE message SHOULD be used to make this determination (See Section 5.11.1). If the joining node is a host, the parent router MUST send RPL DAO messages to the DODAG Roots to create downward routes to the new node. The DAO message MUST contain the GP16 address of the joining node in the Target Prefix option and the GP16 address of the parent node in the Transit option. The External (E) flag MUST be set to one.

1077

1078

1079

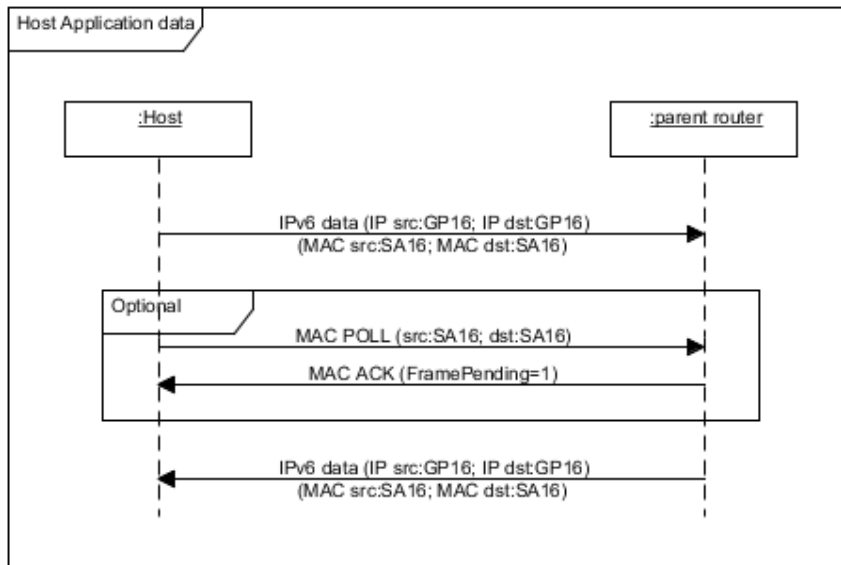
1080

1081

1082

This concludes bootstrapping for Hosts. The Host node can now send and receive IP packets through its parent router.

1083



1084

1085

**Figure 7: Join sequence - Application data**

1086

**6.5.2 Router Bootstrapping**

1087

The bootstrapping sequence for a ZIP Router is described below.

1088

1. The ZIP Router bootstrap sequence follows the sequence described in the previous section for the Host node with the following exceptions: A ZIP router **MUST** select its initial parent router from among those routers that have indicated available router capacity, which is indicated by setting the router capacity subfield in the beacon payload to 1. Since a ZIP router cannot be a sleepy node, the initial MLE exchange before PANA authentication (step 5 in the Host sequence) is **OPTIONAL**. It follows the Host sequence up until the final step (step 11 in the host sequence) and then continues as follows.

1095

2. The ZIP router discovers its neighboring ZIP router nodes and configures secure Layer-2 links with each of them. This is accomplished using the MLE handshake exchange.

1096

1097

The initial MLE link request packet is transmitted using the MAC broadcast address. All ZIP Routers that are in range will receive this packet and **MAY** respond with an MLE Link accept and request packet, depending on their available capacity to configure additional layer-2 links (note that the capacity to configure layer-2 links is limited by the size of the MAC device table).

1098

1099

1100

1101

1102

The joining router selects a subset from the responding ZIP routers and completes the MLE link establishment process with each of them. The selection of this subset is out of scope of this specification. This will cause the MAC device table in the joining router to be populated with entries for the selected neighboring routers. The joining router **SHOULD** ensure that it does not use up all of MAC device table capacity at this time. In order to allow other joining nodes to join the network later, it **SHOULD** ensure that it has some spare capacity in its MAC device table.

1103

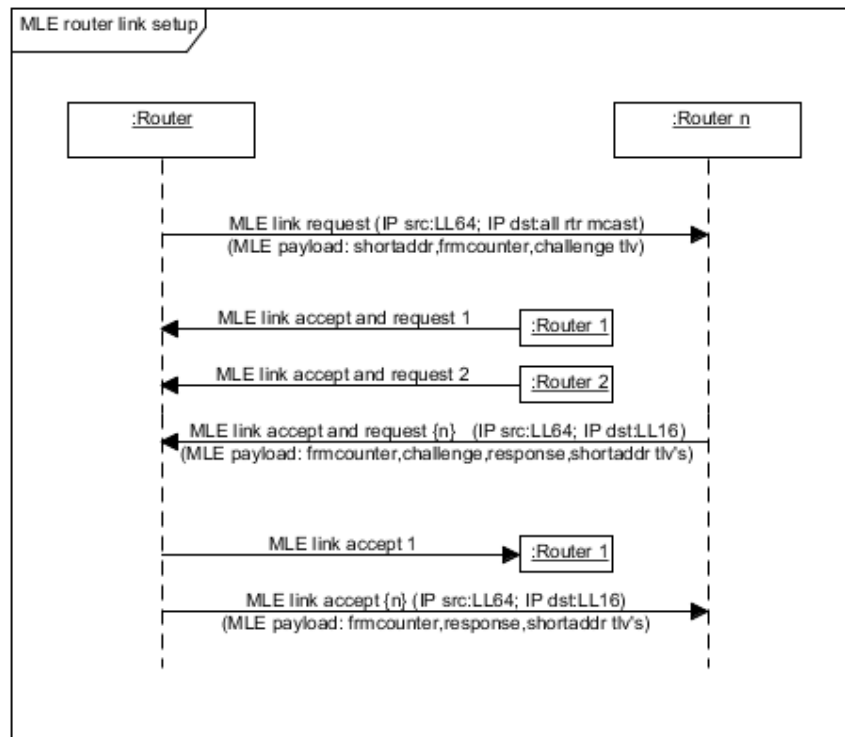
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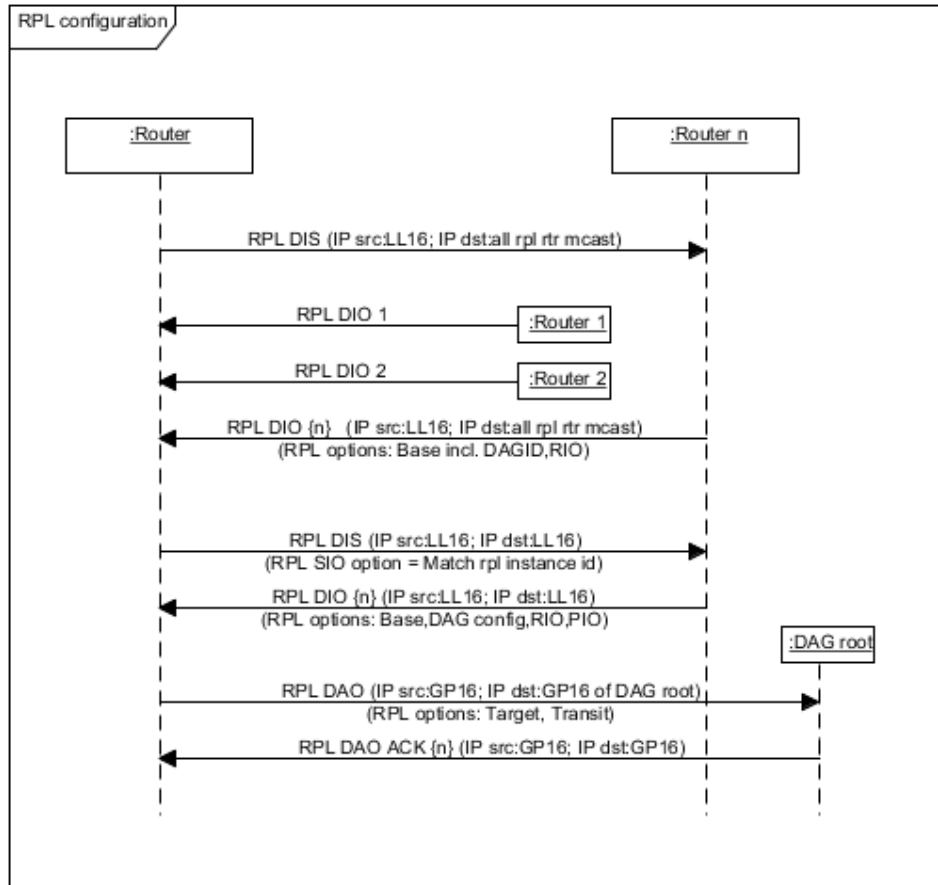
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1110

**Figure 8: Join sequence - Router link setup**

1111  
1112  
1113  
1114

3. Next, the ZIP router begins configuration of the RPL routing protocol. The node transmits a multicast DIS packet to discover all available RPL instances. The node joins each RPL instance in turn using the sequence of messages below.



1115  
1116  
1117

**Figure 9: Join sequence - RPL configuration**

1118  
1119  
1120  
1121  
1122  
1123  
1124  
1125

4. The ZIP router is now part of the network and has full communication ability. The final step in the bootstrapping sequence is for the ZIP router to configure itself to function as an access router so that it can admit new nodes into the network. For this, it MUST configure the MAC beacon payload as described in section 6.3.1 and MUST start the MAC coordinator service so that it can transmit beacon packets in response to incoming beacon request packets. The association permit flag in the beacons MUST be set to false. It MUST enable the PANA Relay service. It MUST begin periodic transmission of MLE Link advertisement packets. It MUST update the Authentication server with its new GP16 address as described in section 6.9.3.6

## 1126 6.6 Network Admission

1127  
1128  
1129

When a new node joins the ZigBee IP network, it uses the PANA protocol to authenticate itself to the ZIP coordinator and gain access to the network security material. Once a node is admitted into the network it has full access to all communication capabilities on the network.

1130 The authentication server can choose to eject an already admitted node from the network. It can do so  
1131 by performing a selective update of the network key to all nodes except those that it has revoked  
1132 access. It MUST perform the network key update twice in order to completely revoke network access  
1133 for that node. See Section 6.10 for details on the updating network keys.

## 1134 6.7 6LoWPAN Fragment Reassembly

1135 ZIP nodes MUST transmit 6LoWPAN fragments in order and MUST complete transmission of one IP  
1136 datagram before beginning transmission of another to the same next hop node. This allows a number  
1137 of optimizations on the receiving node.

1138 A ZIP node SHOULD buffer at most one incoming fragmented message from each neighbor node.  
1139 When receiving a fragmented message from a neighbor, if a 6LoWPAN packet arrives from that  
1140 neighbor that is not the expected next fragment, the partial message MAY be discarded. Also, if a non-  
1141 initial fragment arrives that is not the expected next fragment, both that fragment and any partially  
1142 received message from that neighbor MAY be discarded.

## 1143 6.8 Sleepy Node Support

1144 Hosts in a ZigBeeIP network MAY be battery-operated and can operate their radio for only a small  
1145 fraction of time. Such Hosts are called sleepy hosts. A ZIP router is not allowed to be sleepy and  
1146 MUST always have its radio enabled.

1147 A sleepy host node receives data at the MAC layer using the indirect transmission scheme defined in  
1148 [802.15.4]. In this scheme, the sending node buffers the outgoing MAC packet. When the sleepy Host  
1149 activates its radio, it transmits a MAC POLL command packet to its parent router and then enables its  
1150 radio receiver. The parent router transmits an acknowledgement packet in response and indicates  
1151 within that (in the frame pending field of the MAC header) if it has any buffered packets that are  
1152 pending transmission to the sleepy node. The sleepy host would continue to keep its receiver enabled  
1153 for an additional period of time if it sees that the parent router has buffered packets for it. This allows  
1154 the parent router to transmit the buffered packets to the sleepy host right after sending the  
1155 acknowledgement packet.

1156 ZIP routers MUST keep track of which of their neighbor nodes are sleepy host nodes. The ZIP router  
1157 acquires this information through the Mode type option in the MLE message. The packet transmission  
1158 to those nodes SHOULD use the MAC indirect scheme as defined in [802.15.4]. A ZIP router MUST  
1159 have the ability to buffer at least MAC\_MIN\_INDIRECT\_BUFFER number of full IPv6 packets. Each  
1160 packet that is buffered for indirect transmission MUST be queued for a period of at least  
1161 MAC\_MIN\_INDIRECT\_TIMEOUT or until successfully transmitted to the intended destination. A  
1162 ZIP router can prevent sleepy hosts from selecting them as the parent router by clearing the Host  
1163 capacity bit in the MAC beacon payload. This SHOULD be done if the ZIP router has reached an  
1164 internal limit on the number of sleepy host nodes it can service reliably.

1165 Note that a sleepy host MAY change its sleepy nature dynamically. It MUST update its status with the  
1166 parent router every time it changes its sleepy status. This is done using the Mode type option in the  
1167 MLE message. As an example, if the application on the sleepy host is aware that there is a large  
1168 amount of incoming data (as is the case if the node is receiving a new firmware update), it MAY  
1169 change its state to a non-sleepy ZIP host and receive the packets using direct transmission. This will  
1170 reduce the strain on the parent router buffers and also make the data transfer faster and more reliable.

1171 It is expected that sleepy host nodes are usually the initiator of application-level transactions. They  
1172 SHOULD typically not expect to receive packets unexpectedly. When a sleepy host node is expecting  
1173 to receive packets, it SHOULD be able to poll its parent router at a faster rate than usual so that it can  
1174 improve the probability that its parent router will be able to buffer the packet and deliver it  
1175 successfully.

1176 Special measures are necessary to accommodate sleepy hosts in a ZigBeeIP network, measures which  
1177 are described below and which allow a host to communicate using indirect transmission even during  
1178 the joining process.

### 1179 **6.8.1 Sleepy Host Joining**

1180 The initial node bootstrapping process is described in section 6.5.1 and the following text provides  
1181 additional details.

1182 A sleepy host starts the joining process without a configured MAC short address, so the source address  
1183 of the MAC data request command packets is initially its extended address.

1184 A sleepy host SHOULD indicate its sleepy nature to its parent router during the initial bootstrapping  
1185 process. This is done through an MLE Link request message (see step 5 in Section 6.5.1). The Mode  
1186 TLV is included in the link request message and the value contains the “Capability Information” field  
1187 as defined in [802.15.4].

1188 The parent router MUST respond with a MLE Link accept or reject message. It MUST transmit the  
1189 response to the joining host using MAC indirect transmission, as this allows the host to poll for it. A  
1190 ZIP router MUST NOT accept a sleepy host as a child, unless it has the capability to buffer at least one  
1191 full IPv6 packet for a specified amount of time, in addition to the other requirements of establishing a  
1192 new link (space in the mac device tables etc.). If a ZIP router does not have the necessary capacity to  
1193 service a sleepy Host node, it MUST send a MLE link reject message in response to the link request.

1194 Note that even though the sleepy node confirms a unique short address in step 10 (neighbor discovery)  
1195 of the bootstrapping sequence described in 6.5.1, it MUST NOT configure the short address in its MAC  
1196 layer until after it has updated its parent node with this information, which happens during step 11 of  
1197 the bootstrapping sequence. The node MUST use its extended address for the MAC polling until then  
1198 and it MUST use its short address afterwards.

### 1199 **6.8.2 Polling Rate**

1200 A sleepy host node can exist in one of two modes of sleeping, hereafter called *fast poll* and *slow poll*.  
1201 The difference between the two modes is the MAC polling rate.

1202 During fast poll, a sleepy node SHOULD be polling its parent router with sufficient frequency in order  
1203 to receive its packets in a reasonable amount of time. What is reasonable depends largely on the  
1204 retransmission timers in the various upper layers. In TCP, for example, the initial retransmission  
1205 timeout is set at 3 seconds and increases with each successive retransmission. In order not to trigger  
1206 unnecessary retransmissions, a sleepy host MUST poll its parent router at least once every  
1207 MAC\_MAX\_FAST\_POLL\_TIME when it is in the fast poll state.

1208 During slow poll state, a sleepy host can slow its polling rate significantly. A sleepy device MAY enter  
1209 slow poll state at any time (or not at all). If a device wants to be able to enter slow poll state at all, it  
1210 MUST communicate this to the parent during the link establishment process, by including a Timeout  
1211 TLV in the MLE exchange. The timeout TLV indicates the maximum interval between successive polls  
1212 (i.e. the polling period during the slow poll state). The value of the timeout field MUST be less than  
1213 MAC\_MAX\_POLL\_TIME. Note that the requirement on the parent router to buffer the IP packets for  
1214 at least MAC\_MIN\_INDIRECT\_TIMEOUT does not change when the sleepy host is in slow poll state.  
1215 For this reason, there is very high chance that a sleepy host node will not be able to receive packets  
1216 when it is in slow poll state.

1217 A sleepy node SHOULD be in fast poll state if it expects to receive packets, and MAY enter slow poll  
1218 otherwise. For example, it SHOULD be in fast poll state during the network joining process, after it has  
1219 sent an MDNS or HTTP request and is waiting for the response.

1220 The applications operating on ZIP nodes SHOULD be aware that sleepy host nodes are not always  
1221 reachable reliably as they may be in slow poll state. It is typically safe to respond to queries (e.g.,  
1222 mDNS or HTTP) that are initiated by a sleepy host as the node would be expected to be in fast poll for  
1223 a reasonable duration after sending the query.

### 1224 **6.8.3 MAC Data Request Command Frame Security**

1225 MAC data request command frames (i.e. polls) are always sent unencrypted at the MAC layer. More  
1226 specifically, a parent **MUST NOT** discard unsecured polls from its children at the MAC layer, even if a  
1227 fully established link exists with the originating child. The reason for this is that the child may be  
1228 rejoining the network or performing key pull after a key switch, and may not have the current network  
1229 key. Since parents always accept unsecured polls, there is no reason for sleepy children to secure them,  
1230 even if they do have the network key.

### 1231 **6.8.4 Sleepy Host Link Maintenance**

1232 The network may undergo changes while a node is sleeping, especially if node is in deep sleep. For  
1233 example, the network key may have changed, or the radio link with the parent router may have been  
1234 lost. This section describes the symptoms and remedial actions that a sleepy host node **SHOULD** use to  
1235 maintain its network status.

1236 The typical behavior of a sleepy host node is to wakeup periodically and transmit a MAC Poll  
1237 command packet to its parent router and receive the MAC acknowledgement packet in response. It may  
1238 also transmit application packets at this time. If the application is expecting a response, the node  
1239 **SHOULD** enter the fast poll state until the expected response is received or it has timed out.

1240 If the sleepy host transmits application data packets and receives the expected response, that is  
1241 sufficient confirmation that its network status has not changed and it can continue to operate normally.

1242 If the sleepy node transmits application packets but cannot receive the response packets correctly due  
1243 to update of the network security material, that can be detected by the management entity on the node  
1244 through the internal MAC comm-status-indication with a status of `UNAVAILABLE_KEY` [802.15.4].  
1245 This **SHOULD** cause the sleepy host node to begin the PANA network key update process and retrieve  
1246 the new security material from the authentication server. A sleepy node can also proactively check for  
1247 new security material by doing a periodic key pull operation as described in section 6.10.2.

1248 The management entity on the sleepy host can also detect loss of radio link with its parent router if it  
1249 receives an internal MAC data-confirm with status of `NO_ACK`. [802.15.4]. This **SHOULD** cause the  
1250 sleepy host node to attempt discovery and registration with a new parent router. The sleepy host can  
1251 discover new parent routers through the MAC beacon mechanism as described in step 2 of section  
1252 6.5.1. After selecting the parent router, the sleepy host can proceed to register its address and perform a  
1253 secured MLE exchange with the new parent router (Steps 10, 11 in section 6.5.1) as it already has  
1254 access to the necessary security material and IPv6 address configuration information.

1255 If the sleepy host did not transmit any application data packets for a long duration, it **MAY** proactively  
1256 attempt to verify its network status. This can be done, for example, by transmitting an ICMPv6 echo  
1257 request to its parent router. This **SHOULD** result in either the expected application response or one of  
1258 the above error indications. The benefit of doing this is earlier detection of serious network changes,  
1259 like a key update. The cost is an extra packet exchange. The cost-benefit depends on the actual  
1260 deployment scenario and is therefore left up to the application.

1261 If a sleepy host transmits application packets (including ICMPv6 Echo) to its parent node and does not  
1262 get the expected response, and it also does not receive any MAC error indications, that is an indication  
1263 that the network security material has been updated more than once. To recover from this state, the  
1264 sleepy node cannot use the normal key update procedure. Instead it **MUST** rejoin the network which  
1265 consists of searching for new parents by requesting beacons, performing the initial unsecured MLE  
1266 exchange (Step 5 in section 6.5.1) with the new parent, performing a key pull instead of a PANA  
1267 authentication to get the network key, and then performing a full secured MLE exchange with the new  
1268 parent (Step 11 in section 6.5.1). The network rejoin procedure involves a number of packet exchanges,  
1269 so a sleepy node **SHOULD** not perform this until after it has tried unsuccessfully to communicate with  
1270 its parent node a few times.

## 1271 **6.9 Network Authentication**

1272 During the network join process, the node performs network authentication to ensure it is on the right  
1273 network and acquire the necessary security credentials. Similarly, the network authenticates the node to  
1274 ensure that the node is trusted and has the necessary security credentials to join the network.



- 1275 The purpose of the authentication procedure is to provide mutual authentication resulting in:
- 1276 • Preventing untrusted nodes without appropriate credentials from joining a trusted ZigBee IP  
1277 network
  - 1278 • Preventing trusted nodes with appropriate credentials from joining an untrusted ZigBee IP  
1279 network.

1280 The Authentication Server resides on the ZIP Coordinator and is responsible for authenticating the  
1281 nodes on the network. If the authentication is successful, the Authentication server sends the network  
1282 security material to the joining node through the PANA protocol. The joining node becomes a full  
1283 participating node in the ZigBee IP network and is able to exchange IP packets with all other nodes in  
1284 the network.

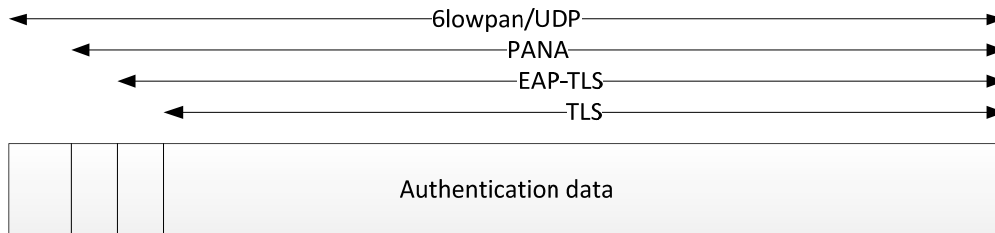
1285 The authentication attempt MUST fail on the Authentication server if the EAP-TLS server cannot  
1286 successfully authenticate the new node. This depends on the security credentials that are presented  
1287 during the EAP-TLS handshake.

1288 Additionally, the authentication attempt can fail based on application logic that is out of scope of this  
1289 specification. An example of such application logic is a user button on the ZIP Coordinator, where all  
1290 join attempts are rejected unless they happen within a brief period of time after the button is pressed.  
1291 Note that in such a scenario, a ZIP Coordinator SHOULD still accept join attempts from nodes that  
1292 have dropped off the network and are performing a rejoin. Another example of application logic is an  
1293 explicit whitelist or blacklist of node identities.

1294 The joining node does not initially have access to the network security material. Therefore, it is not  
1295 able to apply MAC layer security for the packets exchanged during the authentication process. The  
1296 enforcement point rules in the ZIP routers are described in section 6.9.4 and they ensure that the  
1297 packets involved in the PANA authentication are processed even though they are unsecured at MAC  
1298 layer. The rules also ensure that any other incoming traffic that is not secured at the MAC layer is  
1299 discarded by a ZIP node and is not forwarded.

### 1300 6.9.1 Authentication Stack

1301 Authentication can be viewed as a protocol stack as a layer encapsulates the layers above it. The ZIP  
1302 authentication protocols are shown in relation to each other in the figure below.



1303  
1304 **Figure 10: Authentication protocol stack within the ZigBee IP network**

- 1305
- 1306 TLS [RFC 5246] MUST be used at the highest layer of the authentication stack and carries the  
1307 authentication exchange. There is one cipher suite based on pre-shared key [RFC 6655] and one cipher  
1308 suite based on ECC [TLS-CCM-ECC].
- 1309 EAP-TLS [RFC 5216] MUST be used at the next layer to carry the TLS records for the authentication  
1310 protocol.



1311 The Extensible Authentication Protocol [RFC 3748] MUST be used to provide the mechanisms for  
1312 mutual authentication. EAP requires a way to transport EAP packets between the Joining Node and the  
1313 Node on which the Authentication Server resides. These nodes are not necessarily in radio range of  
1314 each other, so it is necessary to have multi-hop support in the EAP transport method. The PANA  
1315 protocol [RFC 5191], [RFC 6345], which operates over UDP, MUST be used for this purpose. [RFC  
1316 3748] specifies the derivation of a session key using the EAP key hierarchy; only the EAP Master  
1317 Session Key shall be derived, as [RFC 5191] specifies that it is used to set up keys for PANA  
1318 authentication and encryption.

1319 PANA (RFC5191) [RFC 5191] and PANA relay (RFC6345) [RFC 6345] MUST be used at the next  
1320 layer:

- 1321 • The Joining Node MUST act as the PANA Client (PaC)
- 1322 • The Parent Node MUST act as a PANA relay (PRE) according to [RFC 6345], unless it is also  
1323 the Authentication Server. All ZIP routers MUST be capable of functioning in the PRE role.
- 1324 • The Authentication Server node MUST act as the PANA Authentication Agent (PAA). The  
1325 Authentication Server MUST be able to handle packets relayed according to [RFC 6345]

1326 This network authentication process uses link-local IPv6 addresses for transport between the new node  
1327 and its parent. If the parent is not the Authentication Server, it MUST then relay packets from the  
1328 Joining Node to the Authentication Server and vice-versa using PANA relay mechanism [RFC 6345].  
1329 The joining node MUST use its LL64 address as the source address for initial PANA authentication  
1330 message exchanges.

## 1331 **6.9.2 Applicability Statements**

1332 The applicability statements describe the relationship between the various specifications.

### 1333 **6.9.2.1 Applicability Statement for PSK TLS**

1334 [RFC 6655] contains AEAD TLS cipher suites that are very similar to [RFC 5487] whose AEAD part  
1335 is detailed in [RFC 5116]. [RFC 5487] references both [RFC 5288] and the original PSK cipher suite  
1336 document [RFC 4279], which references [RFC 5246], which defines the TLS 1.2 messages.

### 1337 **6.9.2.2 Applicability Statement for ECC TLS**

1338 [TLS-ECC-CCM] contains AEAD TLS cipher suites that are very similar to [RFC 5289] whose AEAD  
1339 part is detailed in [RFC 5116]. [RFC 5289] references the original ECC cipher suite document [TLS-  
1340 ECC] (RFC4492), which references [RFC 5246] document, which defines the TLS 1.2 messages.

### 1341 **6.9.2.3 Applicability Statement for EAP-TLS and PANA**

1342 [RFC 5216] specifies how [RFC 3748] is used to package [RFC 5246] messages into EAP packets.  
1343 [RFC 5191] provides transportation for the EAP packets and additional configuration information  
1344 carried in vendor specific attribute-value pairs (AVPs) and encrypted AVPs specified in [RFC 6786]  
1345 and this document. The proposed PRF and AUTH hashes based on SHA-256 are represented as in  
1346 [IKEv2] (RFC5996) and detailed in [IPSEC-HMAC] (RFC4868).

## 1347 **6.9.3 PANA**

### 1348 **6.9.3.1 PANA Session**

1349 [RFC 5191] specifies several phases for a PANA session; a Zigbee IP PANA session MUST always be  
1350 in either the authentication or authorization phase. A ZigBee IP PANA session MUST be initiated by  
1351 the PaC. A ZigBee IP PANA session between the PaC and the PAA MUST remain open for the  
1352 purposes of network key update and maintenance.

1353 **6.9.3.2 PANA Security Association**

1354 [RFC 5191] specifies that the PANA security association is set up based on the authentication key  
1355 derived from the EAP Master Session Key and that the authentication key is used to authenticate the  
1356 final PANA messages. [RFC 6786] specifies the derivation of an encryption key, which MUST be used  
1357 for encrypting transport of the Network Key, Network Key Index and ancillary data to nodes.

1358 The PAA MUST maintain the following attributes as part of the secure association, in addition to those  
1359 specified by [RFC 5191].

- 1360 • The EUI-64 of the PaC. This SHOULD be derived from the LL64 address of the PaC that is  
1361 associated with this secure association. This information is used to uniquely identify the PaC  
1362 and prevent duplicate sessions.
- 1363 • The Node Auth Counter. This is a 1 octet value that is stored on the PAA and transported to  
1364 the PaC as part of the network security material.

1365 **6.9.3.3 PANA between Joining Node (PaC) and Parent Node (PRE or PAA)**

1367 PANA messages between the Joining Node and the Parent Node MUST use single-hop unicast  
1368 transmission in both directions with the following header addresses:

1369

Address	Value	Comment
MAC address	64-bit	IEEE address of the Joining Node
IP address	LL64	Stateless autoconfigured link-local address of joining Node

1370

**Table 19: PANA joining node header addresses**

1371

Address	Value	Comment
MAC address	16-bit	Short address of the Parent Node
IP address	LL16	Stateless autoconfigured link-local address of parent node

1372

**Table 20: PANA parent node header addresses**

1373 **6.9.3.4 PANA between Parent Node (PRE) and Authentication Server (PAA)**

1375 If the Parent Node and the Authentication Server are not the same node, then the Parent Node MUST  
1376 relay PANA messages exchanged between the Joining Node and the Authentication Server according  
1377 to [RFC 6345]. The relaying is transparent to the Joining Node; as far as it is concerned it is talking  
1378 directly to the Authentication Server.

1379 Relayed PANA messages between the Parent Node and the Authentication Server MUST use standard  
1380 unicast transmission in both directions. Relayed PANA messages are secured at the link layer, thus  
1381 satisfying the requirements of Section 3 of [RFC 6345] and avoiding the need for alternative packet  
1382 protection.

### 1383 **6.9.3.5 Network Security Material Transport**

1384 If the PANA authentication attempt is successful, the PAA MUST transmit the network security  
1385 material to the joining node in the final PANA Authentication Request message from PAA to PaC. The  
1386 network security material MUST be transported in the network key AVP (see Section 5.6.3) that is  
1387 encrypted using the Encr-Encap AVP [RFC 6786]. The values of the Network Key and Index MUST  
1388 contain the current active network security material. The value of the Node Auth Counter MUST be  
1389 taken from the PANA secure association state for that node.

1390 At the point of completing the PANA authentication, the PAA MUST check if it has a duplicate secure  
1391 association with this node. For purpose of checking the duplicate session information, the PAA  
1392 SHOULD use the EUI-64 MAC address of the node. This attribute is derived from the LL64 address  
1393 that is used by the PaC during the PANA authentication and is stored as part of the session information.

1394 If a duplicate secure association is found, the PAA MUST take the Node Auth Counter value from the  
1395 duplicate secure association, increment it by one (rollover to zero if necessary) and copy it into the new  
1396 secure association. Furthermore, it MUST delete the old session information. Otherwise, the PAA  
1397 SHOULD use a value of zero for the Node Auth Counter attribute in the secure association.

### 1398 **6.9.3.6 PaC Address Update**

1399 A ZIP node uses its link local IP address during the PANA authentication process. As a result, the PAA  
1400 secure association for each node contains the link local address. After authentication is completed, the  
1401 node bootstrap process results in the configuration of a global unicast (GP16) IP address. [RFC 5191]  
1402 requires that if a node changes the IP address it uses for PANA communications, it must update that  
1403 address at the PAA.

1404 A ZIP router MUST update its IP address at the PAA server to its GP16 address after completing its  
1405 bootstrap process. This is achieved by sending any valid PANA packet to the PAA with the GP16 as  
1406 the source IP address. Typically, a PANA Notification Request message is used for this purpose. After  
1407 updating its IP address at the PAA, the node and PAA can communicate directly using the global  
1408 unicast IP addresses.

1409 A ZIP host SHOULD not update its IP address at the PAA server to its GP16 address. Since a ZIP host  
1410 is typically a sleepy device, it is not always reachable from other nodes. Therefore, a ZIP host  
1411 SHOULD continue to use its link local IP address for communications with the PAA. These  
1412 communications MUST be addressed to the PANA Relay entity at its parent router which relays them  
1413 to the PAA.

### 1414 **6.9.4 Enforcement Point Processing**

1415 Every ZIP Node MUST implement an Enforcement Point (EP) function. The EP acts by policing all  
1416 traffic entering a node at all layers up to layer 4, thus effectively firewalling communication from all  
1417 outside nodes. The EP has filtering rules which are dependent on configuration and packet properties.  
1418 The filtering rules are described below. The net effect of these rules is that all incoming MAC data  
1419 packets that are not secured at the MAC layer are discarded unless it contains an IPv6 packet with a  
1420 destination address that belongs to the node and sent using UDP protocol to the assigned PANA port  
1421 number (716) or to the assigned MLE port number.

#### 1422 **6.9.4.1 Layer 2 (MAC) Filtering**

- 1423 • If the packet is protected by L2 security (network key), the EP MUST tag the packet as 'L2  
1424 secure' and bypass any further layer filtering, allowing the packet through for further  
1425 processing.
- 1426 • If the packet is unprotected by L2 security (network key), the EP MUST tag the packet as 'L2  
1427 unsecure' and pass the packet for Layer 3 filtering.

1428 **6.9.4.2 Layer 3 (IP) Filtering**

- 1429       • If the packet is tagged as ‘L2 unsecure’ and the packet is a UDP message destined to this node  
1430       (the destination IP address is a link-local address assigned to this node, including multicast  
1431       addresses with link-local scope), the EP MUST pass the packet for Layer 4 filtering.
- 1432       • Otherwise the EP MUST silently discard the packet.

1433 **6.9.4.3 Layer 4 (Transport) Filtering**

- 1434       • If the packet is tagged as ‘L2 unsecure’, and the packet is either a PANA message from a  
1435       Joining Node (characterized as a UDP datagram with the destination port set to the assigned  
1436       PANA port number and using link-local source and destination addresses) or an MLE packet  
1437       (characterized as a UDP datagram with the destination port set to the assigned MLE port  
1438       number), the EP MUST pass the packet to the respective application layer.

1439               In the case of MLE messages, the rules for handling of “L2 unsecured” messages are  
1440               further described in 5.11.4. In case of PANA messages, no additional rules are necessary  
1441               as the protocol does not rely on lower layer security.

- 1442       • Otherwise the EP MUST silently discard the packet.

1443 **6.10 Network Key Update**

1444 The network key can be updated by the Authentication server at any time. The frequency and timing of  
1445 such updates is implementation-specific. However, it MUST NOT initiate a network key update until  
1446 the previous key update and activation is complete.

1447 Typically, the Authentication server would update the network security material for one of the  
1448 following reasons

- 1449       • Periodically update security material used for the MAC frame security as part of a standard  
1450       operating procedures
- 1451       • Revoke network access to a node that possesses the current network security material.
- 1452       • Update security material in anticipation of the Node Auth Counter reaching its maximum  
1453       value for any ZIP node.

1454 The updated network security material is delivered to the authorized nodes via the PANA protocol. It  
1455 can be delivered via either a “push” or “pull” mechanism. The PAA “pushes” the updated network  
1456 security material to all ZIP routers. The ZIP hosts are expected to “pull” the updated network security  
1457 material from the PAA.

1458 It is RECOMMENDED that the Authentication server update the network security material  
1459 periodically with duration between 1 day and 1 month. The reason to update network security material  
1460 at least once a month is to ensure that the node frame counter does not reach the maximum value.  
1461 However, if security material is updated too frequently, that will add control overhead on the network.  
1462 Also, sleepy Host nodes can potentially miss the key updates and lose network connectivity. Therefore,  
1463 it is RECOMMENDED that key update is not performed more often than once a day.

1464 An example network key update process is illustrated in Figure 11

1465 **6.10.1 PAA Network Security Update Procedure**

1466 The network security update is triggered by the management entity on the Authentication server.

1467 A new network security material (see Section 5.6.2) is created by generating a new 128-bit Network  
1468 Key. The sequence number for this key SHOULD be set to the sequence number of the current active  
1469 security material, incremented by one. If the current sequence number value has a value of 255, the  
1470 new sequence number SHOULD roll over to a value of 1. The Node Auth counter MUST be reset to a  
1471 value of 0 for all nodes.

1472 In addition to the new security material, the management entity MAY also provide a list of nodes,  
 1473 identified by their EUI-64 MAC addresses, which are currently on the network but SHOULD not  
 1474 receive any further network security material.

1475 Upon obtaining the new network security material, the PAA server performs the following actions:

- 1476 1. The PAA deletes the PANA sessions corresponding to the nodes that are not eligible to  
 1477 receive further network security material.
- 1478 2. The PAA “pushes” new network security material to each node for which it has a secure  
 1479 association and also possesses the global unicast IP address.
- 1480 3. The “push” involves sending a PANA Notification Request message. The PAA MUST  
 1481 include the updated network security material in a network key AVP (see Section 5.6.3) that is  
 1482 encrypted using the Encr-Encap AVP [RFC 6786].

1483 After the PAA has completed the above, the management entity MAY activate the new security  
 1484 material.

1485 During the time between the start of the key update process and completion of the activation, the PAA  
 1486 is in possession of two network security materials. Note that this includes two copies of the Node Auth  
 1487 counter for each node.

## 1488 **6.10.2 Network Key Pull**

1489 A ZIP node MUST initiate a network key pull when it detects usage of new security material by  
 1490 another node. This happens when the node receives a packet that is secured at the MAC or MLE layers  
 1491 using a key index that is greater (taking rollover into account) than what it currently possesses.

### 1492 **6.10.2.1 Request**

1493 The network key pull is initiated by sending a PANA Notification Request message to the PAA. The  
 1494 node SHOULD use the IP address that it has previously registered with the PAA as the source address  
 1495 when sending this message (see Section 6.9.3.6). This is the link local address in the case of a ZIP Host  
 1496 and the GP16 address for a ZIP Router.

1497 A ZIP host MUST use its link local IP address as the source address for this packet. It MUST send the  
 1498 packet to its parent router. The PANA Relay entity on the parent router will transparently relay this  
 1499 request and the response between the Host and the PAA.

1500 A ZIP router MUST use the global unicast IP address that it has previously registered with the PAA as  
 1501 the source IP address and send the packet directly to the PAA.

1502 If the ZIP node supports the Key Request AVP, it MUST include it in the PANA Notification Request  
 1503 packet. The `nwk_key_req_flags` SHOULD be set of value of 1. The `nwk_key_idx` field SHOULD  
 1504 be populated with value of the current active key index.

### 1505 **6.10.2.2 Response**

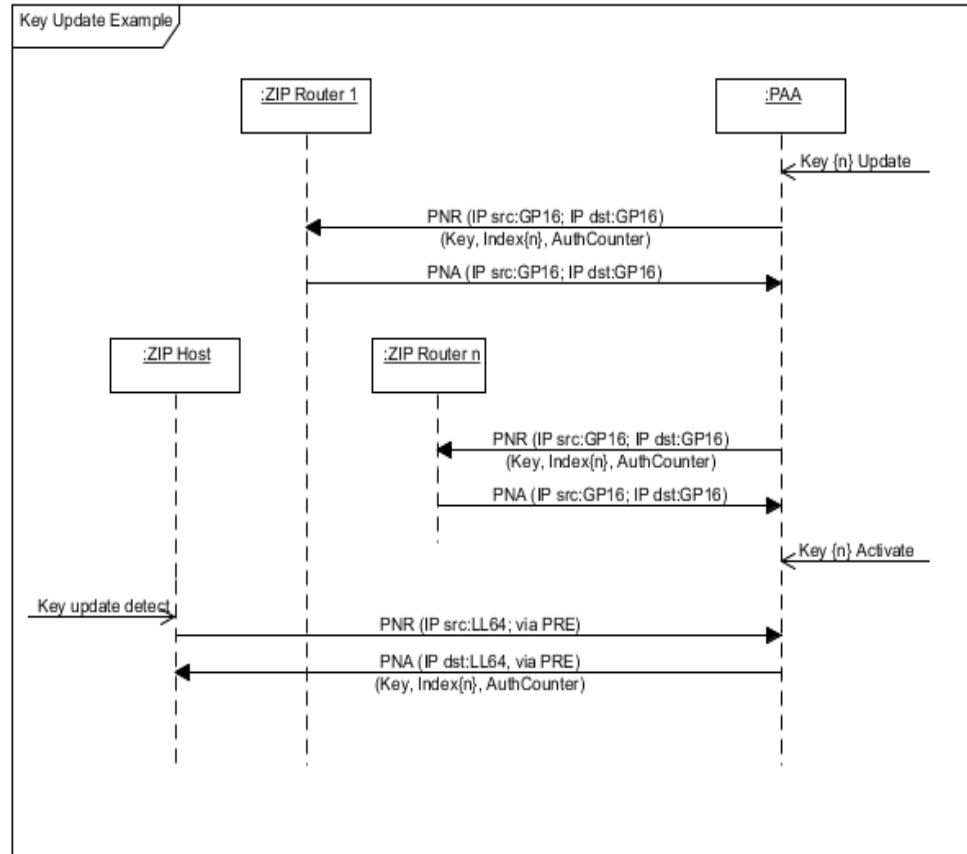
1506 The PANA Notification Answer message is sent from the PAA to the ZIP node in response to the  
 1507 above request.

1508 If the incoming PANA Notification Request message does not include the Key request AVP or if the  
 1509 PAA does not support the Key request AVP, then the PAA MUST transport the new network security  
 1510 material if a key update is currently in progress or transport the current network security material  
 1511 otherwise.

1512 If the incoming PANA Notification Request message includes the Key request AVP and the PAA  
 1513 supports this AVP, the PAA responds as follows:

- 1514 • If the least significant bit of the `nwk_key_req_flags` field has a value of 1:

- 1515                   ○ If the `nwk_key_idx` field is equal to the active key index, then the PAA MUST  
1516 transport the new network security material if a key update is in progress and MUST  
1517 send an empty response otherwise.
- 1518                   ○ If the `nwk_key_idx` field is not equal to the active key index, the PAA MUST  
1519 transport the active network security material.
- 1520           • If the least significant bit of the `nwk_key_req_flags` field has a value of 0:
- 1521                   ○ If the `nwk_key_idx` field is equal to the active key index, then the PAA MUST  
1522 transport the active network security material.
- 1523                   ○ Otherwise, the PAA MUST send an empty response
- 1524 The PAA MUST transport the current or new network security material in a network key AVP (see  
1525 Section 5.6.3) that is encrypted using the Encr-Encap AVP [RFC 6786]. The Node Auth counter  
1526 MUST be set to value of zero if the new security material is being transported. Otherwise, the auth  
1527 counter attribute from the PANA secure association corresponding to the ZIP node MUST be  
1528 incremented by one and that value MUST be used in the network key AVP.
- 1529 Note that if the PAA is transporting the network security material to a new node that is joining the  
1530 network (i.e., in the final PANA Authentication Request message from PAA to PaC), it MUST always  
1531 transport the current active network security material to the node.
- 1532 A ZIP host MAY also periodically perform the network key pull procedure to check if there is updated  
1533 security material at the PAA before that material is activated. However, this SHOULD be done  
1534 judiciously if either the PaC or the PAA does not support the key request AVP as each network key  
1535 pull results in an increment of the node auth counter value until the next network key update resets it to  
1536 zero. If the auth counter reaches the maximum value for a node, then the node frame counters could  
1537 reach their maximum limit and the node would be unable to communicate securely in the network.



1538

1539

Figure 11: Network key update

### 1540 6.10.3 Network Key Activation

1541 The management entity on the Authentication server is responsible for activating the new network  
1542 security material.

1543 It is RECOMMENDED that this action is taken a short time after the new security material has been  
1544 propagated to all the non-sleepy nodes in the network. The additional delay allows sleepy nodes to pull  
1545 the new security material from the PAA before it is activated.

1546 The activation of the new network security material results in an update to the active MAC key and  
1547 active MLE key as they are derived from the network security material.

1548 On the PAA, the node simply activates the MAC and MLE security material whose key index matches  
1549 the new network key sequence number. This will cause outgoing MAC frames and MLE messages  
1550 from the PAA to be secured with the new key material.

1551 When a ZIP node receives an incoming MLE message that is secured with a higher key index  
1552 (adjusting for index rollover) than its current active MLE key index, and that higher key index is equal  
1553 to the alternate MLE key index, the node MUST swap the active and alternate MLE security materials.

1554 When a ZIP node receives an incoming MAC message that is secured with a higher key index  
1555 (adjusting for index rollover) than the nodes current active MAC key index, and the node possesses a  
1556 MAC KeyDescriptor with that higher key index, the node updates the value of its active MAC key  
1557 index to the higher key index.



1558 When a ZIP node updates the active security material for either the MAC or MLE layer, the node  
1559 management entity SHOULD also update the active security material for the other layer at the same  
1560 time.

## 1561 **6.11 Node Diagnostics**

1562 The ZIP stack makes available node management and diagnostic functionality for the 802.15.4 layer,  
1563 6LoWPAN layer and the network layer. For each of these layers the following information SHOULD  
1564 be available. The node management functions shall always be available however the collection of  
1565 diagnostics and statistics MAY be turned on and off.

1566

1567 The IEEE 802.15.4 layer MUST make the following attributes available to the node management  
1568 application:

- 1569 • IEEE EUI 64 address
- 1570 • IEEE short address
- 1571 • CapabilityInfo
- 1572 • Device PANID

1573 The IEEE 802.15.4 layer SHOULD make the following information available:

- 1574 • Packets sent and received
- 1575 • Octets sent and received
- 1576 • Packets dropped on transmit and receive
- 1577 • Security errors on receive
- 1578 • Packet transmit failures due to no acknowledgement
- 1579 • Packet transmit failure due to CSMA (channel access) failure
- 1580 • Number of MAC retries

1581 The 6LoWPAN layer SHOULD make the following information available:

- 1582 • Packets sent and received
- 1583 • Octets sent and received
- 1584 • Fragmentation errors on receive

1585

1586 The network layer SHOULD make the following parameters available:

- 1587 • IPv6 address list: The list of IPv6 addresses that are assigned to the ZigBee IP interface on the  
1588 node
- 1589 • RPL instance list: The list of RPL instances to which the node belongs
- 1590 • RPL source routes list: The list of RPL source routes, for each RPL Instance, that are available  
1591 on the node.
- 1592 • RPL parent list: The set of RPL parents, for each RPL Instance, on this node.

1593 The management layer SHOULD make the following parameters available:

- 1594 • NetworkID: The identifier of the ZigBee IP network to which this node belongs.
- 1595 • MLE neighbor table: The list of neighbor node addresses and the associated link quality  
1596 information.



**1597 6.12 Persistent Data**

1598 Devices operating in the field may be reset either manually or programmatically by maintenance  
1599 personnel, or may be reset accidentally for any number of reasons, including localized or network-wide  
1600 power failures, battery replacement during the course of normal maintenance, impact, and so on.  
1601 Devices which are reset need to have the ability to restart network operation without user intervention.

1602 ZIP Routers and Hosts SHOULD store the NetworkID value in non-volatile storage. This is so that the  
1603 node can recover from an unscheduled reset without user intervention. Additionally, ZIP Routers and  
1604 Hosts SHOULD store the PANA security session information in non-volatile storage to make the rejoin  
1605 process more efficient. A node that is restoring previous configuration after a reset SHOULD not reuse  
1606 its previous GP16 IPv6 address (or the MAC short address) without checking for uniqueness again.

1607 ZIP Coordinator MUST store in persistent storage all the information that is necessary to restore the  
1608 ZIP network configuration after a reset. This includes

- 1609 • The value of ZIP NetworkID field
- 1610 • The PANA security session information for each of the authenticated nodes.
- 1611 • The network security key material
- 1612 • The information necessary to recreate information in the Router advertisement packet. This  
1613 includes the ABRO version, prefix and context information
- 1614 • The information necessary to recreate the DIO packets. This includes the RPL Instance id and  
1615 DODAG version.

1616 The method by which this data is made to persist is outside the scope of this specification.

1617 **7 Constants and Attributes**

1618 This section specifies the constants and attributes required by the ZigBee IP protocol suite.

1619 **7.1 Attributes**

1620 A ZIP node MUST configure the following attribute values.

1621

Attribute	Description	Value
MIN_6LP_CID_COUNT	The minimum number of 6LoWPAN header compression context identifiers that are supported by a node	4
MIN_6LP_PREFIX	The minimum number of 6LoWPAN prefixes that are supported by a node.	2
MIN_RPL_INSTANCE_COUNT	The minimum number of RPL Instances that a ZIP Router is capable of participating in.	2
MLE_ADV_INTERVAL	The time interval between transmission of successive MLE advertisement packets by a ZIP Router.	16 seconds
MLE_ADV_TIMEOUT	The time interval after which a ZIP router SHOULD remove a node from its MAC device table if it has not received MLE advertisements from that neighbor node containing this node as a neighbor.	54 seconds
MLE_MAX_ALLOW_JOIN_TIME	The maximum amount of time a ZIP router SHOULD keep the Allow Join flag enabled without additional commands.	30 minutes
RPL_INSTANCE_LOST_TIMEOUT	The amount of time a ZIP Router can lose connectivity to a RPL Instance before removing itself from that Instance.	1200 seconds
RPL_MIN_DAO_PARENT	The number of DAO parents that a RPL router SHOULD be able to support.	2
RPL_MAX_RIO	The maximum number of route information options that SHOULD be included in a DIO packet.	3
RPL_MTU_EXTENSION	The additional number of bytes added to the link layer MTU for IP packets sent over the RPL tunnel interface.	100 bytes
RPL_MAX_PIO	The maximum number of prefix information options that can be included in a DIO packet.	1
EAP_TLS_MTU	The maximum size of TLS data in the EAP payload when using EAP-TLS	512 octets

	fragmentation.	
MAC_MIN_INDIRECT_TIMEOUT	The minimum amount of time a ZIP router buffers an IPv6 packet for indirect transmission at the MAC layer.	1 second
MAC_MIN_INDIRECT_BUFFER	The minimum number of IPv6 packets that a ZIP router can buffer for indirect transmission at the MAC layer.	1
MAC_MAX_FAST_POLL_TIME	The maximum duration between consecutive MAC polls when a sleepy host node is in fast poll state.	500 ms
MAC_MAX_POLL_TIME	The value for maximum duration of inactivity from a sleepy host after which a ZIP router can remove the entry from its MAC device table.	1 day
MAC_MAX_NWK_KEYS	The number of MAC keys that are stored by a node.	2
MAC_MIN_DEV_TBL	The minimum number of entries a ZIP router SHOULD support in the MAC device table.	6
MCAST_MIN_TBL_SIZE	The minimum number of trickle multicast sequence values that can be stored in a ZIP router.	8

Table 21: Node attributes

1622

1623

## 1624 **8 Informative Appendix**

1625 This section contains informative clarifications which are used to aid implementation of the  
1626 specification. The clarifications are there to clarify explicit or implicit normative requirements.

1627 All normative requirements are contained in the normative sections of this document and the  
1628 specifications referenced in this document.

### 1629 **8.1 PANA**

#### 1630 **8.1.1 Packets**

1631 PANA packets SHOULD be a multiple of 4 octets in size.

#### 1632 **8.1.2 AVPs**

1633 PANA AVPs can appear in any order, except for the AUTH AVP, which must be the final AVP. Octet  
1634 string AVPs (Auth, EAP-Payload, Nonce) must be aligned to 4 octets, without the padding being  
1635 included in the length field; other AVPs are automatically aligned.

#### 1636 **8.1.3 Transactions**

1637 PANA packet transactions form the basis of transportation of EAP packets. PANA transactions occur  
1638 between a PANA client (PaC) and a PANA Authentication Agent (PAA) and can be relayed via a  
1639 PANA Relay Entity (PRE). A relayed session essentially carries the same EAP and TLS information  
1640 but the PANA session is carried between three entities.

1641  
1642 An EAP Response SHOULD be piggy-backed on the PANA answer. However an implementation  
1643 SHOULD assume that an EAP Response may alternatively be carried in a separate PAR initiated by  
1644 the PaC followed by a PAN from the PAA.

#### 1645 **8.1.4 PANA Key Generation**

1646 [RFC 5191] and [RFC 6786] specify how the PANA\_AUTH\_KEY and PANA\_ENCR\_KEY are  
1647 generated. This section provides additional guidance.

```
1648 PANA_AUTH_KEY = prf+(MSK, "IETF PANA",  
1649 | I_PAR | I_PAN | PaC_nonce | PAA_nonce | Key_ID);  
1650 PANA_ENCR_KEY = prf+(MSK, "IETF PANA Encryption Key",  
1651 | I_PAR | I_PAN | PaC_nonce | PAA_nonce | Key_ID);
```

1652 The PRF function only needs to be iterated once as the PANA\_AUTH\_KEY and PANA\_ENCR\_KEY  
1653 lengths are the same as the underlying hash, i.e. 32 bytes. Therefore, the TLS PRF function can be used  
1654 simply by concatenating 0x01 to the string:

```
1655 prf+(K, S) = P_hash(K, S | 0x01)
```

1656 The string "IETF PANA" is not null-terminated, i.e. has a length of 9 octets and the string "IETF  
1657 PANA Encryption Key" is not null-terminated, i.e. has a length of 24 octets.

#### 1658 **8.1.5 IKEv2 prf+ Function used in PANA**

1659 All PANA transactions use the prf+ function specified in [IKEv2] (RFC5996). In the following, |  
1660 indicates concatenation.

1661 prf+ is defined as:

```
1662 prf+(K,S) = T1 | T2 | T3 | T4 | ...
```

1663 where:

```
1664 T1 = prf (K, S | 0x01)  
1665 T2 = prf (K, T1 | S | 0x02)
```

```

1666   T3 = prf (K, T2 | S | 0x03)
1667   T4 = prf (K, T3 | S | 0x04)
1668   ...

```

1669 This continues until all the material needed to compute all required keys has been output from prf+.

1670 The PRF used is the IPsec PRF function PRF-HMAC-SHA-256 specified in [IPSEC-HMAC].

1671 Note that the HMAC key size (section 2.1.1) specifies that the HMAC key size must be the size of the  
 1672 underlying hash. So in this case, the PANA\_AUTH\_KEY size is 32 bytes (the output from SHA-256).

1673 Note also that if the output is always the size of the underlying hash or less, the prf+ function only has  
 1674 to be iterated once. In this case, the TLS PRF function can be used simply by concatenating 0x01 to the  
 1675 string:

```

1676   prf+(K, S) ≡ P_hash(K, S | 0x01)

```

## 1677 8.2 TLS

### 1678 8.2.1 TLS PSK

#### 1679 8.2.1.1 Premaster Secret

1680 [RFC 4279] states: "if the PSK is N octets long, concatenate a uint16 with the value N, N zero octets  
 1681 (plain PSK case), a second uint16 with the value N, and the PSK itself"

```

1682 Premaster Secret = 00 10 || 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 10 || CF CE CD CC
1683 CB CA C9 C8 C7 C6 C5 C4 C3 C2 C1 C0

```

1684 where || is the concatenation operator.

1685 Note that the concatenation of the length with the data represents a TLS variable length vector  
 1686 <0..2<sup>16</sup>-1>

#### 1687 8.2.1.2 PSK Key Exchange

1688 The TLS PSK key exchange is shown below. The optional elements are not shown.

1689	Client		Server
1690	-----		-----
1691			
1692	ClientHello	----->	
1693			ServerHello
1694		<-----	ServerHelloDone
1695	ClientKeyExchange	----->	
1696	ChangeCipherSpec		
1697	Finished	----->	
1698			ChangeCipherSpec
1699		<-----	Finished
1700	Application Data	----->	Application Data

#### 1701 8.2.1.3 PSK Verify Data

1702 In the following diagram:

- 1703 • '+' indicates concatenation
- 1704 • '[']' indicates recipient of data as opposed to originator of data or in the case of `verify_data`,  
 1705 reconstructed data
- 1706 • '=>' indicates calculation
- 1707 • The final `Finished` message included in the concatenation of messages is used as cleartext

- 1708 • Validation can be performed on the server at `SVAL` and at the client at `CVAL`
- 1709 • `verify_data = PRF(master_secret, finished_label, Hash(handshake_messages))`
- 1710 • `verify_data_length` is 12 (bytes)
- 1711 • For `Finished` messages sent by the client, the `finished_label` is the string "client finished"
- 1712 • For `Finished` messages sent by the server, the `finished_label` is the string "server finished"

1713 Verify data is calculated over the accumulated handshake messages as follows:

Client	----->	Server
-----		-----
1717 C:ClientHello	----->	[C:ClientHello]
1718 +		+
1719 [S:ServerHello]		S:ServerHello
1720 +		+
1721 [S:ServerHelloDone]	<-----	S:ServerHelloDone
1722 +		+
1723 C:ClientKeyExchange		[C:ClientKeyExchange]
1724 => C:verify_data		=> [C:verify_data]
1725 +		+
1726 C:Finished(C:verify_data)	----->	[C:Finished(C:verify_data)]
1727 SVAL		
1728 => [S:verify_data]		=> S:verify_data
1729 CVAL [S:Finished(S:verify_data)]	<-----	S:Finished(S:verify_data)

## 1730 8.2.2 TLS ECC

### 1731 8.2.2.1 ECC Key Exchange

1732 The TLS ECC key exchange is shown below. The optional elements are not shown. Since  
1733 authentication is mutual, if this cipher suite is used, the TLS server must require client authentication,  
1734 i.e. it must request the client's certificate

Client	----->	Server
-----		-----
1738 ClientHello	----->	
1739		ServerHello
1740		Certificate
1741		ServerKeyExchange
1742		CertificateRequest
1743	<-----	ServerHelloDone
1744 Certificate		
1745 ClientKeyExchange		
1746 CertificateVerify		
1747 ChangeCipherSpec		
1748 Finished	----->	
1749		ChangeCipherSpec
1750	<-----	Finished
1751 Application Data	<-----	Application Data

### 1752 8.2.2.2 ECC Verify Data

1753 In the following diagram:

- 1754 • '+' indicates concatenation
- 1755 • '['] indicates recipient of data as opposed to originator of data or in the case of `verify_data`,  
1756 reconstructed data

- 1757 • ‘=>’ indicates calculation
- 1758 • The final `Finished` message included in the concatenation of messages is used as cleartext
- 1759 • Validation can be performed on the server at `SVAL` and at the client at `CVAL`
- 1760 • `verify_data = PRF(master_secret, finished_label, Hash(handshake_messages))`
- 1761 • `verify_data_length` is 12 (bytes)
- 1762 • For `Finished` messages sent by the client, the `finished_label` is the string "client finished"
- 1763 • For `Finished` messages sent by the server, the `finished_label` is the string "server finished"

1764 Verify data is calculated over the accumulated handshake messages as follows:

Client	----->	Server
-----		-----
1768 C:ClientHello	----->	[C:ClientHello]
1769 +		+
1770 [S:ServerHello]		S:ServerHello
1771 +		+
1772 [S:Certificate]		S:Certificate
1773 +		+
1774 [S:ServerKeyExchange]		S:ServerKeyExchange
1775 +		+
1776 [S:CertificateRequest]		S:CertificateRequest
1777 +		+
1778 [S:ServerHelloDone]	<-----	S:ServerHelloDone
1779 +		+
1780 C:Certificate		[C:Certificate]
1781 +		+
1782 C:ClientKeyExchange		[C:ClientKeyExchange]
1783 +		+
1784 C:CertificateVerify		[C:CertificateVerify]
1785 => C:verify_data		=> [C:verify_data]
1786 +		+
1787 C:Finished(C:verify_data)	----->	[C:Finished(C:verify_data)]
1788 SVAL		
1789 => [S:verify_data]		=> S:verify_data
1790 CVAL [S:Finished(S:verify_data)]	<-----	S:Finished(S:verify_data)

## 1791 8.2.3 TLS ECC Additional Information

### 1792 8.2.3.1 ClientHello Extension

1793 `ClientHello` has extensions, which can be identified as additional data being present after the  
1794 `compression_methods` field.

1795 The extensions from section 5.1 of [TLS-ECC] are as follows:

- 1796 • `elliptic_curves` (10), size 4:
  - 1797 ○ `EllipticCurveList` length: 2
  - 1798 ○ **One** `NamedCurve`: `secp256r1` (0x0017)
- 1799 • `ec_point_formats` (11), size 2
  - 1800 ○ `ECPointFormatList` length: 1
  - 1801 ○ **One** `ECPointFormat`: `uncompressed` (0x00)

1802 The extensions from [RFC 5246] are as follows:



- 1803       • signature\_algorithms (13), size 4:
- 1804             ○ SignatureAndHashAlgorithm length: 2
- 1805             ○ hash sha256 (0x04)
- 1806             ○ signature\_ecdsa (0x03)

1807       **8.2.3.2 ServerHello Extension**

1808       ServerHello has extensions, which can be identified as additional data being present after the  
1809       compression\_method field.

1810       The extensions from section 5.2 of [TLS-ECC] are as follows:

- 1811       • ec\_point\_formats (11), size 2:
- 1812             ○ ECPPointFormatList length: 1
- 1813             ○ One ECPPointFormat: uncompressed (0x00)

1814       **8.2.4 TLS CCM Parameters**

1815       The following parameters are used for the CCM AEAD cipher in the TLS-PSK and TLS-ECC cipher  
1816       suites, as specified in [RFC 5116]:

Parameter	Value	Description
M	8	MIC length
L	3	Length length

1817       **8.3 Example Transactions**

1818       The transactions are generally layered:

- 1819       • TLS Records
- 1820       • EAP Packets
- 1821       • PANA Packets

1822       The PANA session wraps the EAP session, which wraps the TLS handshake transactions.

1823       **8.3.1 Syntax**

1824       The syntax used is similar to C structure syntax. All fields are clearly sized and where the field value is  
1825       fixed for the packet, the value is stated.

1826       **8.3.2 TLS**

1827       TLS Records are typically concatenated as described in the handshake transactions. Each record  
1828       contains plaintext data for the TLS Handshake and TLS Change Cipher Spec records and ciphertext  
1829       data for TLS Handshake records.

1830       **8.3.3 EAP**

1831       EAP packets carry the request and the responses between the EAP entities, i.e. Peer and Authenticator.  
1832       The EAP protocol allows packets to be fragmented and reassembled. EAP-TLS is the specific EAP  
1833       method used which encapsulates TLS records into the EAP protocol and defines key derivation.



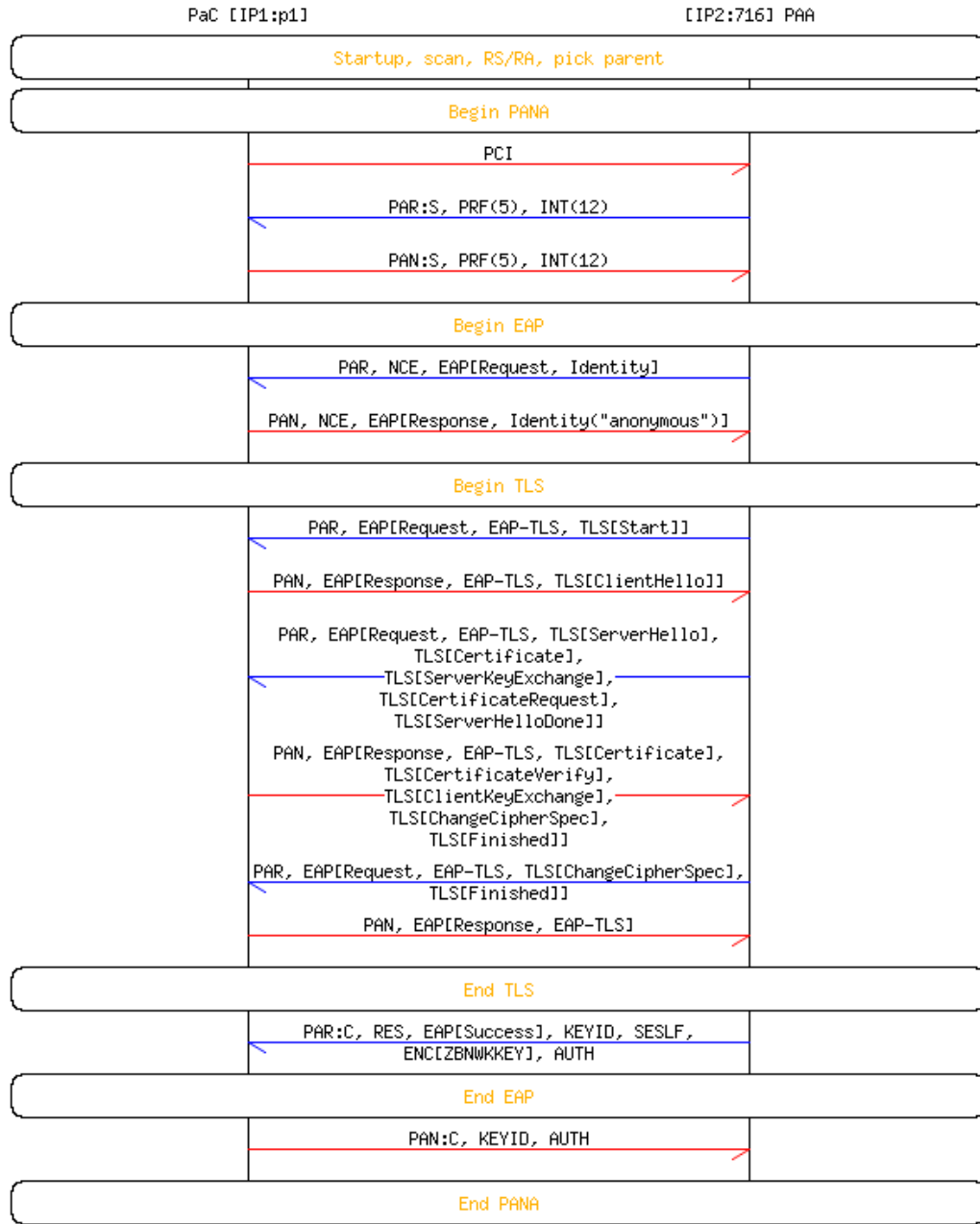
1834 **8.3.4 PANA**

1835 The PANA packet transactions form the basis of transportation of the higher layer packets. PANA  
 1836 transactions can occur between PANA client (PaC) and PANA Authentication Agent (PAA) and can be  
 1837 relayed via a PANA Relay Entity (PRE).

1838 The PANA session for a PaC to a PAA is shown below. A relayed session essentially carries the same  
 1839 EAP and TLS information but the PANA session is between three entities.

1840 The sequence shown assumes that the EAP Response can be piggy-backed on the PANA answer. This  
 1841 may not always be the case and the implementation SHOULD assume that an EAP Response may  
 1842 alternatively be carried in a separate PAR initiated by the PaC followed by a PAA from the PAA.

1843 PANA packets SHOULD be a multiple of 4 bytes in size



1844

1845

**Figure 12: ECC PANA exchange**



1846 **8.3.5 PCI from PaC to PAA**

```
1847 struct PANA {
1848     uint16 rsvd = 0;
1849     uint16 length = 16; /* 16H */
1850     uint16 flags = 0x0000;
1851     uint16 type = 1; /* PCI */
1852     uint32 session_id = 0;
1853     uint32 seq_no = 0;
1854 };
```

1855 **8.3.6 PANA start from PAA to PaC**

```
1856 struct PANA {
1857     uint16 rsvd = 0;
1858     uint16 length = 52; /* 16H + (8H + 4P) + (8H + 4P) + (8H + 4P) */
1859     uint16 flags = 0xC000; /* Request, start */
1860     uint16 type = 2; /* PA */
1861     uint32 session_id = paa_session_id; /* Chosen by PAA */
1862     uint32 seq_no = paa_seq_no; /* Random number chosen by PAA */
1863     /* If PRF_HMAC_SHA2_256 is the only PRF, the following AVP may be
1864     optional */
1865     struct PANAAVP {
1866         uint16 code = 6; /* PRF algorithm */
1867         uint16 flags = 0;
1868         uint16 length = 4;
1869         uint16 rsvd = 0;
1870         uint32 prf_algorithm = 5;
1871     }
1872     /* If AUTH_HMAC_SHA2_256_128 is the only integrity algorithm, the
1873     following AVP may be optional */
1874     struct PANAAVP {
1875         uint16 code = 3; /* Integrity algorithm */
1876         uint16 flags = 0;
1877         uint16 length = 4;
1878         uint16 rsvd = 0;
1879         uint32 integrity_algorithm = 12;
1880     }
1881     /* If AES-CTR is the only encryption, the following AVP may be optional
1882     */
1883     struct PANAAVP {
1884         uint16 code = 12; /* Encryption algorithm */
1885         uint16 flags = 0;
1886         uint16 length = 4;
1887         uint16 rsvd = 0;
1888         uint32 encryption_algorithm = 1;
1889     }
1890 };
```

1891 **8.3.7 PANA Start from PaC to PAA**

```
1892 struct PANA {
1893     uint16 rsvd = 0;
1894     uint16 length = 52; /* 16H + (8H + 4P) + (8H + 4P) + (8H + 4P) */
1895     uint16 flags = 0x4000; /* Answer, Start */
1896     uint16 type = 2; /* PA */
1897     uint32 session_id = paa_session_id; /* Returned by PaC */
1898     uint32 seq_no = paa_seq_no; /* Returned by PaC */
1899     /* If PRF_HMAC_SHA2_256 is the only PRF, the following AVP may be
1900     optional */
1901     struct PANAAVP {
```

```

1902     uint16 code = 6; /* PRF algorithm */
1903     uint16 flags = 0;
1904     uint16 length = 4;
1905     uint16 rsvd = 0;
1906     uint32 prf_algorithm = 5;
1907 }
1908 /* If AUTH_HMAC_SHA2_256_128 is the only integrity algorithm, the
1909 following AVP may be optional */
1910 struct PANAAVP {
1911     uint16 code = 3; /* Integrity algorithm */
1912     uint16 flags = 0;
1913     uint16 length = 4;
1914     uint16 rsvd = 0;
1915     uint32 integrity_algorithm = 12;
1916 }
1917 /* If AES-CTR is the only encryption, the following AVP may be optional
1918 */
1919 struct PANAAVP {
1920     uint16 code = 12; /* Encryption algorithm */
1921     uint16 flags = 0;
1922     uint16 length = 4;
1923     uint16 rsvd = 0;
1924     uint32 encryption_algorithm = 1;
1925 }
1926 };

```

### 1927 8.3.8 EAP Identity Request from PAA to PaC

```

1928 struct PANA {
1929     uint16 rsvd = 0;
1930     uint16 length = 56; /* 16 + (8H + 16P) + (8H + 5P + 3Pd) */
1931     uint16 flags = 0x8000; /* Request */
1932     uint16 type = 2; /* PA */
1933     uint32 session_id = paa_session_id;
1934     uint32 seq_no = paa_seq_no + 1; /* Increment sequence number */
1935     struct PANAAVP {
1936         uint16 code = 5; /* Nonce */
1937         uint16 flags = 0;
1938         uint16 length = 16;
1939         uint16 rsvd = 0;
1940         uint8 nonce[16];
1941     }
1942     /* The following AVP may be optional */
1943     struct PANAAVP {
1944         uint16 code = 2; /* EAP Payload */
1945         uint16 flags = 0;
1946         uint16 length = 5; /* 5P */
1947         uint16 rsvd = 0;
1948         struct EAPReqUnfrag {
1949             uint8 code = 1; /* EAPReq */
1950             uint8 identifier = idseq;
1951             uint16 length = 5; /* inc. 5H + 0P */
1952             uint8 type = 1; /* EAP-Identity */
1953         };
1954         struct AVPPad {
1955             uint8 bytes[3];
1956         };
1957     };
1958 };

```

1959 **8.3.9 EAP Identity Response from PaC**

```
1960 struct PANA {
1961     uint16 rsvd = 0;
1962     uint16 length = 64; /* 16H + (8H + 16P) + (8H + 14P + 2Pd) */
1963     uint16 flags = 0x0000; /* Answer */
1964     uint16 type = 2; /* PA */
1965     uint32 session_id = paa_session_id; /* Returned by PaC */
1966     uint32 seq_no = paa_seq_no + 1; /* Returned by PaC */
1967     struct PANAAVP {
1968         uint16 code = 5; /* Nonce */
1969         uint16 flags = 0;
1970         uint16 length = 16;
1971         uint16 rsvd = 0;
1972         uint8 nonce[16];
1973     }
1974     /* The following AVP may be optional */
1975     struct PANAAVP {
1976         uint16 code = 2; /* EAP Payload */
1977         uint16 flags = 0;
1978         uint16 length = 14;
1979         uint16 rsvd = 0;
1980         struct EAPRspUnfrag {
1981             uint8 code = 2; /* EAPRsp */
1982             uint8 identifier = idseq; /* Corresponds to request */
1983             uint16 length = 14; /* inc. 5H + 9P */
1984             uint8 type = 1; /* EAP-Identity */
1985             /* Anonymous NAI */
1986             uint8 identity[] = "anonymous";
1987         };
1988         struct AVPPad {
1989             uint8 bytes[2];
1990         };
1991     };
1992 };
```

1993 **8.3.10 TLS Start from PAA to PaC**

```
1994 struct PANA {
1995     uint16 rsvd = 0;
1996     uint16 length = 32; /* 16H + (8H + 6P + 2Pd) */
1997     uint16 flags = 0x8000; /* Request */
1998     uint16 type = 2; /* PA */
1999     uint32 session_id = paa_session_id;
2000     uint32 seq_no = paa_seq_no + 2; /* Increment sequence number */
2001     struct PANAAVP {
2002         uint16 code = 2; /* EAP Payload */
2003         uint16 flags = 0;
2004         uint16 length = 6;
2005         uint16 rsvd = 0;
2006         struct EAPReqUnfrag {
2007             uint8 code = 1;
2008             uint8 identifier = idseq + 1;
2009             uint16 length = 6; /* inc. 6H + 0P */
2010             uint8 type = 13; /* EAP-TLS */
2011             uint8 flags = 0x20; /* Start */
2012         };
2013         struct AVPPad {
2014             uint8 bytes[2];
2015         };
2016     };
};
```

2017 };

### 2018 8.3.11 PSK TLS ClientHello from PaC to PAA

```

2019 struct PANA {
2020     uint16 rsvd = 0;
2021     uint16 length = 80; /* 16H + (8H + 56P) */
2022     uint16 flags = 0x0000; /* Answer */
2023     uint16 type = 2; /* PA */
2024     uint32 session_id = paa_session_id; /* Returned by PaC */
2025     uint32 seq_no = paa_seq_no + 2; /* Returned by PaC */
2026     struct PANAAVP {
2027         uint16 code = 2; /* EAP Payload */
2028         uint16 flags = 0;
2029         uint16 length = 56;
2030         uint16 rsvd = 0;
2031         struct EAPRspUnfrag {
2032             uint8 code = 2;
2033             uint8 identifier = idseq + 1; /* Corresponds to request */
2034             uint16 length = 56; /* inc. 6H + (5H + 45P) */
2035             uint8 type = 13; /* EAP-TLS */
2036             uint8 flags = 0x00;
2037             struct TLSPlaintext {
2038                 uint8 type = 22; /* Handshake */
2039                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2040                 uint16 length = 45; /* 4H + 41P */
2041                 struct Handshake {
2042                     uint8 msg_type = 1; /* ClientHello */
2043                     uint24 length = 41; /* 2P + 32P + 1P + 4P + 2P */
2044                     struct ClientHello {
2045                         struct ProtocolVersion {
2046                             uint8 major = 0x03;
2047                             uint8 minor = 0x03; /* TLS 1.2? */
2048                         } client_version;
2049                         struct Random {
2050                             uint32 gmt_unix_time;
2051                             uint8 random_bytes[28];
2052                         } random;
2053                         struct SessionID<0..32> {
2054                             uint8 length = 0; /* NULL */
2055                         } session_id;
2056                         struct <2..2^16-2> {
2057                             uint16 length = 2;
2058                             struct CipherSuite {
2059                                 uint8 bytes[2] = {0x00, 0xC6};
2060                             } cipher_suites[1];
2061                         };
2062                         struct <1..2^8-2> {
2063                             uint8 length = 1;
2064                             uint8 compression_methods[1] = {0};
2065                         }
2066                         /* NOTE: extensions will be needed for public key cipher
2067                         suite */
2068                         struct { }; /* No extensions */
2069                     };
2070                 };
2071             };
2072         };
2073     };
2074 };

```

2075 **8.3.12 ECC TLS ClientHello from PaC to PAA**

```
2076 struct PANA {
2077     uint16 rsvd = 0;
2078     uint16 length = 108; /* 16H + (8H + 82P + 2Pd) */
2079     uint16 flags = 0x0000; /* Answer */
2080     uint16 type = 2; /* PA */
2081     uint32 session_id = paa_session_id; /* Returned by PaC */
2082     uint32 seq_no = paa_seq_no + 2; /* Returned by PaC */
2083     struct PANAAVP {
2084         uint16 code = 2; /* EAP Payload */
2085         uint16 flags = 0;
2086         uint16 length = 82;
2087         uint16 rsvd = 0;
2088         struct EAPRspUnfrag {
2089             uint8 code = 2;
2090             uint8 identifier = idseq + 1; /* Corresponds to request */
2091             uint16 length = 82; /* inc. 6H + (5H + 77P) */
2092             uint8 type = 13; /* EAP-TLS */
2093             uint8 flags = 0x00;
2094             struct TLSPlaintext {
2095                 uint8 type = 22; /* Handshake */
2096                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2097                 uint16 length = 71; /* 4H + 67P */
2098                 struct Handshake {
2099                     uint8 msg_type = 1; /* ClientHello */
2100                     uint24 length = 67; /* 2P + 32P + 1P + 8P + 2P + 22P */
2101                     struct ClientHello {
2102                         struct ProtocolVersion {
2103                             uint8 major = 0x03;
2104                             uint8 minor = 0x03; /* TLS 1.2? */
2105                         } client_version;
2106                         struct Random {
2107                             uint32 gmt_unix_time;
2108                             uint8 random_bytes[28];
2109                         } random;
2110                         struct SessionID<0..32> {
2111                             uint8 length = 0; /* NULL */
2112                         } session_id;
2113                         struct <2..2^16-2> {
2114                             uint16 length = 4;
2115                             struct CipherSuite {
2116                                 uint8 bytes[2] = {0xC0, 0xC6};
2117                             } cipher_suites[1];
2118                             struct CipherSuite {
2119                                 uint8 bytes[2] = {0x00, 0xC6};
2120                             } cipher_suites[1];
2121                         };
2122                         struct <1..2^8-2> {
2123                             uint8 length = 1;
2124                             uint8 compression_methods[1] = {0};
2125                         }
2126                         struct { /* ECC extensions */
2127                             uint16 length = 22;
2128                             struct EllipticCurvesExtension {
2129                                 uint16 type = 10; /* elliptic_curves */
2130                                 uint16 length = 4;
2131                                 uint16 eclength = 2;
2132                                 uint16 ec = 23; /* secp256r1 */
2133                             };
2134                             struct ECPointFormatsExtension {
```

```

2135         uint16 type = 11; /* ec_point_formats */
2136         uint16 length = 2;
2137         uint8 pflength = 1;
2138         uint8 pf = 0; /* uncompressed */
2139     };
2140     struct SignatureAlgorithmsExtension {
2141         uint16 type = 13; /* signature_algorithms */
2142         uint16 length = 4; /* 2? */
2143         struct <2..2^16-2> {
2144             uint16 length = 2;
2145             struct SignatureAndHashAlgorithm {
2146                 uint8 hash = 0x04; /* sha256 */
2147                 uint8 signature = 0x03; /* ecdsa */
2148             } signature_and_hash_algorithm[1];
2149         };
2150     };
2151 };
2152 };
2153 };
2154 };
2155 };
2156 struct AVPPad {
2157     uint8 bytes[2];
2158 };
2159 };
2160 };

```

### 2161 8.3.13 PSK TLS ServerHello and ServerHelloDone from PAA to PaC

```

2162 struct PANA {
2163     uint16 rsvd = 0;
2164     uint16 length = 88; /* 16H + (8H + 61P + 3Pd) */
2165     uint16 flags = 0x8000; /* Request */
2166     uint16 type = 2; /* PA */
2167     uint32 session_id = paa_session_id;
2168     uint32 seq_no = paa_seq_no + 3; /* Increment sequence number */
2169     struct PANAAVP {
2170         uint16 code = 2; /* EAP Payload */
2171         uint16 flags = 0;
2172         uint16 length = 61;
2173         uint16 rsvd = 0;
2174         struct EAPReqUnfrag {
2175             uint8 code = 1;
2176             uint8 identifier = idseq + 2;
2177             uint16 length = 61; /* inc. 6H + (5H + 50P) */
2178             uint8 type = 13; /* EAP-TLS */
2179             uint8 flags = 0x00;
2180             struct TLSPlaintext {
2181                 uint8 type = 22; /* Handshake */
2182                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2183                 uint16 length = 50; /* (4H + 42P) + (4H + 0P) */
2184                 struct Handshake {
2185                     uint8 msg_type = 2; /* ServerHello */
2186                     uint24 length = 42; /* 2P + 32P + 5P + 2P + 1P */
2187                     struct ServerHello {
2188                         struct ProtocolVersion {
2189                             uint8 major = 0x03;
2190                             uint8 minor = 0x03; /* TLS 1.2? */
2191                         } server_version;
2192                     struct Random {

```

```
2193         uint32 gmt_unix_time;
2194         uint8 random_bytes[28];
2195     } random;
2196     struct SessionID<0..32> {
2197         uint8 length = 4; /* Arbitrary for now */
2198         uint8 bytes[4];
2199     } session_id;
2200     struct CipherSuite {
2201         uint8 bytes[2] = {0x00, 0xC6};
2202     } cipher_suite;
2203     uint8 compression_method = {0};
2204     /* NOTE: extensions will be needed for public key cipher
2205 suite */
2206     struct { }; /* No extensions */
2207     };
2208     };
2209     struct Handshake {
2210         uint8 msg_type = 14; /* ServerHelloDone */
2211         uint24 length = 0;
2212         struct ServerHelloDone { }; /* Empty */
2213     };
2214     };
2215     };
2216     struct AVPPad {
2217         uint8 bytes[3];
2218     };
2219     };
2220     };
```

### 8.3.14 ECC TLS ServerHello, Certificate, ServerKeyExchange, CertificateRequest and ServerHelloDone from PAA to PaC

```
2221
2222
2223 struct PANA {
2224     uint16 rsvd = 0;
2225     uint16 length = 844; /* 16H + (8H + 61P + 3Pd) */
2226     uint16 flags = 0x8000; /* Request */
2227     uint16 type = 2; /* PA */
2228     uint32 session_id = paa_session_id;
2229     uint32 seq_no = paa_seq_no + 3; /* Increment sequence number */
2230     struct PANAAVP {
2231         uint16 code = 2; /* EAP Payload */
2232         uint16 flags = 0;
2233         uint16 length = 820;
2234         uint16 rsvd = 0;
2235         struct EAPReqUnfrag {
2236             uint8 code = 1;
2237             uint8 identifier = idseq + 2;
2238             uint16 length = 820; /* inc. 6H + (5H + 50P) */
2239             uint8 type = 13; /* EAP-TLS */
2240             uint8 flags = 0x00;
2241             struct TLSPlaintext {
2242                 uint8 type = 22; /* Handshake */
2243                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2244                 uint16 length = 50; /* (4H + 42P) + (4H + 0P) */
2245                 struct Handshake {
2246                     uint8 msg_type = 2; /* ServerHello */
2247                     uint24 length = 78; /* 2P + 32P + 5P + 2P + 1P */
2248                     struct ServerHello {
2249                         struct ProtocolVersion {
2250                             uint8 major = 0x03;
```



```

2251         uint8 minor = 0x03; /* TLS 1.2? */
2252     } server_version;
2253     struct Random {
2254         uint32 gmt_unix_time;
2255         uint8 random_bytes[28];
2256     } random;
2257     struct SessionID<0..32> {
2258         uint8 length = 32; /* Arbitrary for now */
2259         uint8 bytes[32];
2260     } session_id;
2261     struct CipherSuite {
2262         uint8 bytes[2] = {0xC0, 0xC6};
2263     } cipher_suite;
2264     uint8 compression_method = {0};
2265     struct { /* ECC extensions */
2266         uint16 length = 6;
2267         struct ECPFormatsExtension {
2268             uint16 type = 11; /* ec_point_formats */
2269             uint16 length = 2;
2270             uint8 pflength = 1;
2271             uint8 pf = 0; /* uncompressed */
2272         };
2273     };
2274 };
2275 };
2276 struct Handshake {
2277     uint8 msg_type = 11; /* Certificate */
2278     uint24 length = 559;
2279     uint24 certificates_length = 556;
2280     uint24 certificate_length = 553;
2281     uint8 certificate[0][553]; /* Single certificate */
2282 };
2283 struct Handshake {
2284     uint8 msg_type = 12; /* ServerKeyExchange */
2285     uint24 length = 144;
2286     uint8 server_key_exchange[144]; /* Single certificate */
2287     struct ServerHelloDone { }; /* Empty */
2288 };
2289 struct Handshake {
2290     uint8 msg_type = 13; /* CertificateRequest */
2291     uint24 length = 10;
2292     struct <2..2^8-1> {
2293         uint8 length = 1;
2294         uint8 certificate_types = 0x40; /* ecdsa_sign */
2295     };
2296     struct <2..2^16-2> {
2297         uint16 length = 2;
2298         struct SignatureAndHashAlgorithm {
2299             uint8 hash = 0x04; /* sha256 */
2300             uint8 signature = 0x03; /* ecdsa */
2301         } signature_and_hash_algorithm[1];
2302     };
2303     struct <2..2^16-1> {
2304         uint16 length = 0;
2305     };
2306 };
2307 struct Handshake {
2308     uint8 msg_type = 14; /* ServerHelloDone */
2309     uint24 length = 0;
2310     struct ServerHelloDone { }; /* Empty */

```

```
2311         };
2312     };
2313 };
2314 struct AVPPad {
2315     uint8 bytes[3];
2316 };
2317 };
2318 };
```

### 2319 **8.3.15 TLS ClientKeyExchange and ChangeCipherSpec and Finished** 2320 **from PaC to PAA**

```
2321 struct PANA {
2322     uint16 rsvd = 0;
2323     uint16 length = 88; /* 16H + (8H + 62P + 2Pd) */
2324     uint16 flags = 0x0000; /* Answer */
2325     uint16 type = 2; /* PA */
2326     uint32 session_id = paa_session_id; /* Returned by PaC */
2327     uint32 seq_no = paa_seq_no + 3; /* Returned by PaC */
2328     struct PANAAVP {
2329         uint16 code = 2; /* EAP Payload */
2330         uint16 flags = 0;
2331         uint16 length = 62;
2332         uint16 rsvd = 0;
2333         struct EAPRspUnfrag {
2334             uint8 code = 2;
2335             uint8 identifier = idseq + 2; /* Corresponds to request */
2336             uint16 length = 62; /* inc. 6H + (5H + (4H + 4P)) + (5H + 1P) +
2337 (5H + 32P) */
2338             uint8 type = 13; /* EAP-TLS */
2339             uint8 flags = 0x00;
2340             struct TLSPlaintext{
2341                 uint8 type = 22; /* Handshake */
2342                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2343                 uint16 length = 4;
2344                 struct Handshake {
2345                     uint8 msg_type = 16; /* ClientKeyExchange */
2346                     uint24 length = 4;
2347                     struct ClientKeyExchange {
2348                         struct <0..2^16-1> {
2349                             uint16 length = 2;
2350                             uint8 bytes[1] = {0x30, 0x00};
2351                         } psk_identity;
2352                     };
2353                 };
2354             };
2355             struct TLSPlaintext{
2356                 uint8 type = 20; /* ChangeCipherSpec */
2357                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2358                 uint16 length = 1;
2359                 struct ChangeCipherSpec{
2360                     uint8 type = 1; /* ChangeCipherSpec */
2361                 };
2362             };
2363             struct TLSCiphertext {
2364                 uint8 type = 22; /* Handshake */
2365                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2366                 uint16 length = 32;
2367                 struct GenericAEADCipher {
2368                     struct CCMNonceExplicit {
```

```

2369         uint64 seq_num;
2370     };
2371     struct CCMCipherText { /* inferred from draft-mcgrew-tls-
2372 aes-ccm */
2373         struct Handshake { /* Encrypted */
2374             uint8 msg_type = 20; /* Finished */
2375             uint24 length = 12;
2376             struct Finished {
2377                 uint8 verify_data[12];
2378             };
2379         };
2380         uint8 MAC[8]; /* Using AES_CCM_8 */
2381     };
2382 };
2383 };
2384 };
2385 struct AVPPad {
2386     uint8 bytes[2];
2387 };
2388 };
2389 };

```

### 2390 8.3.16 TLS ChangeCipherSpec and TLS Finished from PAA to PaC

```

2391 struct PANA {
2392     uint16 rsvd = 0;
2393     uint16 length = 134; /* 16H + (8H + 49P + 0Pd) */
2394     uint16 flags = 0x8000; /* Request */
2395     uint16 type = 2; /* PA */
2396     uint32 session_id = paa_session_id;
2397     uint32 seq_no = paa_seq_no + 4; /* Increment sequence number */
2398     struct PANAAVP {
2399         uint16 code = 2; /* EAP Payload */
2400         uint16 flags = 0;
2401         uint16 length = 49;
2402         uint16 rsvd = 0;
2403         struct EAPReqUnfrag {
2404             uint8 code = 1;
2405             uint8 identifier = idseq + 3;
2406             uint16 length = 49; /* inc. 6H + (5H + 1P) + (5H + 32P) */
2407             uint8 type = 13; /* EAP-TLS */
2408             uint8 flags = 0x00;
2409             struct TLSPlaintext{
2410                 uint8 type = 20; /* ChangeCipherSpec */
2411                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2412                 uint16 length = 1;
2413                 struct ChangeCipherSpec{
2414                     uint8 type = 1; /* ChangeCipherSpec */
2415                 };
2416             };
2417             struct TLSCiphertext {
2418                 uint8 type = 22; /* Handshake */
2419                 uint8 version[2] = {0x03, 0x03}; /* TLS 1.2 */
2420                 uint16 length = 32;
2421                 struct GenericAEADCipher {
2422                     struct CCMNonceExplicit {
2423                         uint64 seq_num;
2424                     };
2425                     struct CCMCipherText { /* inferred from draft-mcgrew-tls-
2426 aes-ccm */

```

```
2427         struct Handshake { /* Encrypted */
2428             uint8 msg_type = 20; /* Finished */
2429             uint24 length = 12;
2430             struct Finished {
2431                 uint8 verify_data[12];
2432             };
2433         };
2434         uint8 MAC[8]; /* Using AES_CCM_8 */
2435     };
2436 };
2437 };
2438 };
2439 };
2440 };
```

### 2441 8.3.17 Final EAP response from PaC to PAA

```
2442 struct PANA {
2443     uint16 rsvd = 0;
2444     uint16 length = 30; /* 16H + (8H + 6P + 2Pd) */
2445     uint16 flags = 0x0000; /* Answer */
2446     uint16 type = 2; /* PA */
2447     uint32 session_id = paa_session_id; /* Returned by PaC */
2448     uint32 seq_no = paa_seq_no + 4; /* Returned by PaC */
2449     struct PANAAVP {
2450         uint16 code = 2; /* EAP Payload */
2451         uint16 flags = 0;
2452         uint16 length = 6;
2453         uint16 rsvd = 0;
2454         struct EAPRspUnfrag {
2455             uint8 code = 2;
2456             uint8 identifier = idseq + 3; /* Corresponds to request */
2457             uint16 length = 6; /* inc. 6H + 0P */
2458             uint8 type = 13; /* EAP-TLS */
2459             uint8 flags = 0x00;
2460         };
2461         struct AVPPad {
2462             uint8 bytes[2];
2463         };
2464     };
2465 };
```

### 2466 8.3.18 PANA Complete and EAP Success from PAA to PaC

```
2467 struct PANA {
2468     uint16 rsvd = 0;
2469     uint16 length = 128; /* 16H + (8H + 4P) + (8H + 4P) + (8H + 4P) + (8H +
2470 4P) + (8H + (12H + 18P + 2Pd) + (8H + 16P) */
2471     uint16 flags = 0xA000; /* Request, Complete */
2472     uint16 type = 2; /* PA */
2473     uint32 session_id = paa_session_id;
2474     uint32 seq_no = paa_seq_no + 5; /* Increment sequence number */
2475     struct PANAAVP {
2476         uint16 code = 7; /* Result code */
2477         uint16 flags = 0;
2478         uint16 length = 4;
2479         uint16 rsvd = 0;
2480         uint32 result_code = 0; /* PANA_SUCCESS */
2481     };
2482     struct PANAAVP {
```

```

2483     uint16 code = 2; /* EAP Payload */
2484     uint16 flags = 0;
2485     uint16 length = 4;
2486     uint16 rsvd = 0;
2487     struct EAPSuccess {
2488         uint8 code = 3;
2489         uint8 identifier = idseq + 4;
2490         uint16 length = 4; /* inc. 4H + 0P */
2491     };
2492 };
2493 struct PANAAVP {
2494     uint16 code = 4; /* Key ID */
2495     uint16 flags = 0;
2496     uint16 length = 4;
2497     uint16 rsvd = 0;
2498     uint32 key_id = 0; /* Initial MSK */
2499 };
2500 struct PANAAVP {
2501     uint16 code = 8; /* Session Lifetime */
2502     uint16 flags = 0;
2503     uint16 length = 4;
2504     uint16 rsvd = 0;
2505     uint32 sess_life = 0xFFFFFFFF; /* -1 = forever (136 years) */
2506 };
2507 struct PANAAVP {
2508     uint16 code = 13; /* Encrypted Encapsulation */
2509     uint16 flags = 0;
2510     uint16 length = 32;
2511     uint16 rsvd = 0;
2512     struct PANAAVP {
2513         uint16 code = 1; /* ZigBee Network Key */
2514         uint16 flags = 1; /* Vendor specific */
2515         uint16 length = 18;
2516         uint16 rsvd = 0;
2517         uint32 vendor_id = 37244; /* ZigBee Vendor ID */
2518         struct ZBNWKKEY {
2519             uint8 nwk_key[16];
2520             uint8 nwk_key_idx;
2521             uint8 auth_cntr;
2522         };
2523         struct AVPPad {
2524             uint8 bytes[2];
2525         };
2526     };
2527 };
2528 struct PANAAVP {
2529     uint16 code = 1; /* Auth */
2530     uint16 flags = 0;
2531     uint16 length = 16;
2532     uint16 rsvd = 0;
2533     uint8 auth[16]; /* Hash */
2534 };
2535 };

```

### 2536 8.3.19 PANA Complete from PaC to PAA

```

2537 struct PANA {
2538     uint16 rsvd = 0;
2539     uint16 length = 54; /* 16H + (8H + 4P) + (8H + 16P) */
2540     uint16 flags = 0x2000; /* Answer, Complete */

```

```
2541     uint16 type = 2; /* PA */
2542     uint32 session_id = paa_session_id; /* Returned by PaC */
2543     uint32 seq_no = paa_seq_no + 5; /* Returned by PaC */
2544     struct PANAAVP {
2545         uint16 code = 4; /* Key ID */
2546         uint16 flags = 0;
2547         uint16 length = 4;
2548         uint16 rsvd = 0;
2549         uint32 key_id = 0; /* Initial MSK */
2550     };
2551     struct PANAAVP {
2552         uint16 code = 1; /* Auth */
2553         uint16 flags = 0;
2554         uint16 length = 16;
2555         uint16 rsvd = 0;
2556         uint8 auth[16]; /* Hash */
2557     };
2558 };
2559
```